

# Continuum Gyrokinetic Simulations of Edge Plasmas in Single-Null Geometries

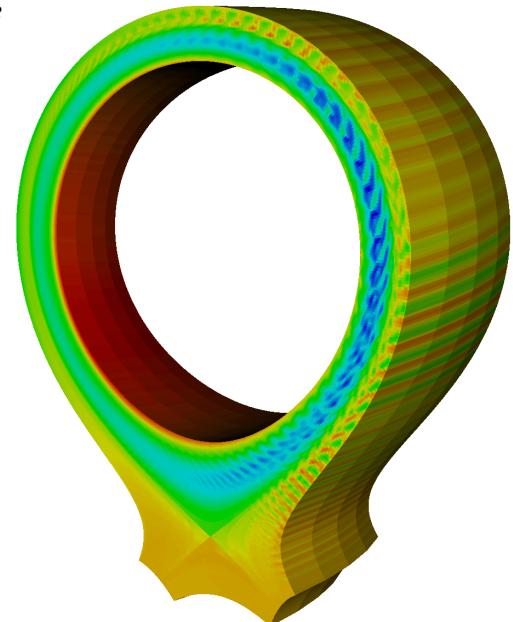
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edge  
simulation  
laboratory

62 APS DPP Meeting, Nov. 9 - 13, 2020

Prepared by LLNL under Contract DE-AC52-07NA27344 and LBNL under contract DE-AC02-05CH11231. This material is based upon work supported by the U.S. DOE, Office of Science, Fusion Energy Sciences

LLNL-PRES-816304

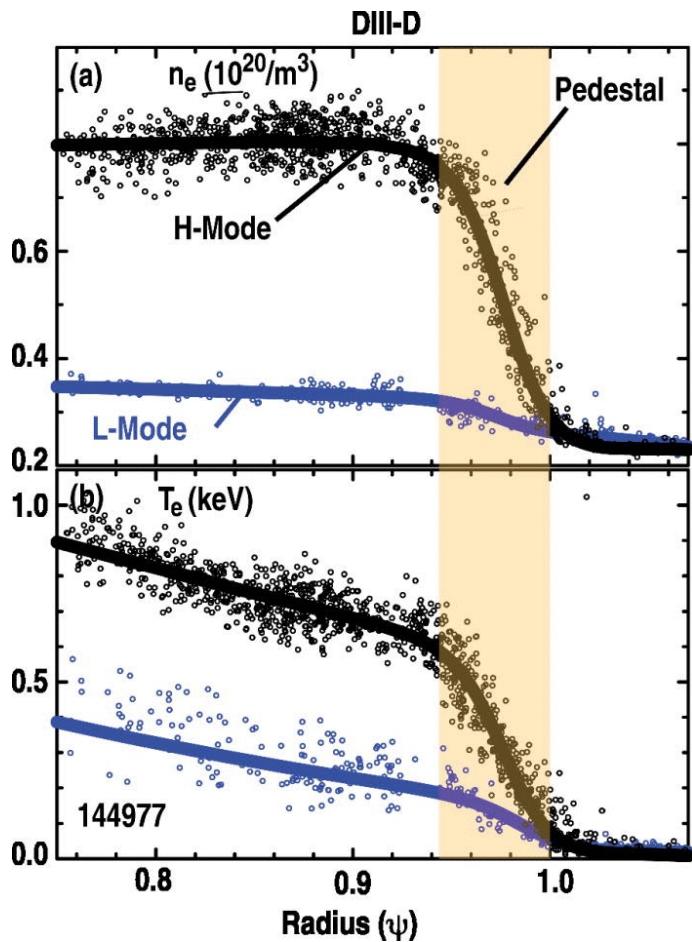
# OUTLINE

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- ***Distinguishing features of edge plasma modeling***
- ***Overview of the COGENT code***
- ***Cross-separatrix 4D (axisymmetric) transport***
  - Verification studies
  - Illustrative DIII-D simulations
- ***Cross-separatrix 5D turbulence***
  - Locally field-aligned discretization
  - Verification studies in a toroidal annulus (CBC test, etc)
  - First ITG simulations in a single-null geometry
- ***Conclusions***

# Tokamak edge plasma simulations can benefit from the use of high-order continuum methods

Radial scales are comparable to ion drift orbit excursions



- H-mode is distinguished by strong edge plasma gradients
- $F_0$  strongly deviates from Maxwellian
- Requires solving the full-F problem:
  - Low-amplitude turbulence ( $f_1$ ) & quasi-equilibrium dynamics ( $F_0$ )
- Motivates the use of continuum methods:
  - Free of particle noise (cf. PIC)
  - Can take advantage of high-order methods

**COGENT is the only continuum code for cross-separatrix gyrokinetic modeling**

# Continuum gyrokinetic code **COGENT** has been developed as part of the Edge Simulation Laboratory (ESL) collaboration

High-order (4<sup>th</sup>-order) finite-volume Eulerian gyrokinetic code

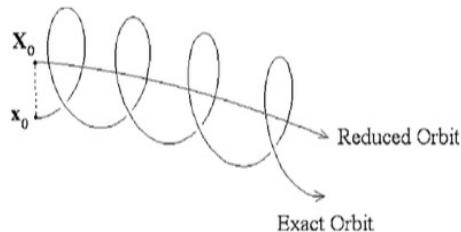
**COGENT**

## Physics models (LLNL/UCSD)

- Multispecies full-F gyrokinetic equations
- Self-consistent electrostatic potential
- Collisions (including full Fokker-Plank)
- Anomalous transport models (in 4D)

## Math algorithms (LLNL/LBNL)

- High-order mapped-multiblock technology to handle X-point
- Advanced multigrid solvers
- Advanced time integrators (ImEx)



$$\frac{\partial B_{\parallel}^* f}{\partial t} + \nabla_R (\dot{R}_{gc} B_{\parallel}^* f) + \frac{\partial}{\partial v_{\parallel}} (\dot{v}_{\parallel} B_{\parallel}^* f) = C[B_{\parallel}^* f]$$

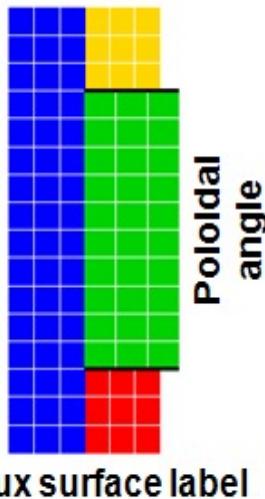
Tokamak applications  
(AToM, ESL, PSI)

Low-Temp  $\leftrightarrow$  **COGENT**  $\leftrightarrow$  Z-pinch

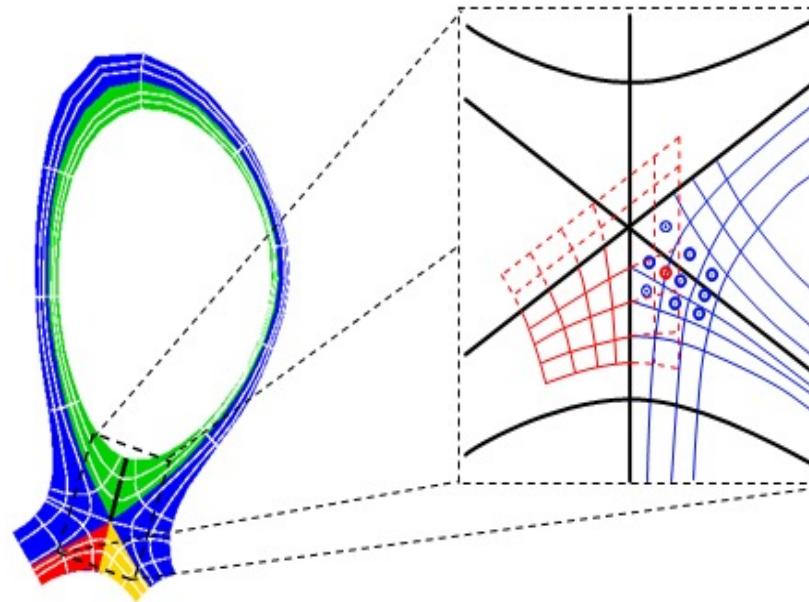
New collaborations welcome!

# X-point geometry is handled by using a mapped multiblock technology

Computational  
Coordinates



X-Point Smooth Extension



Flux surface label

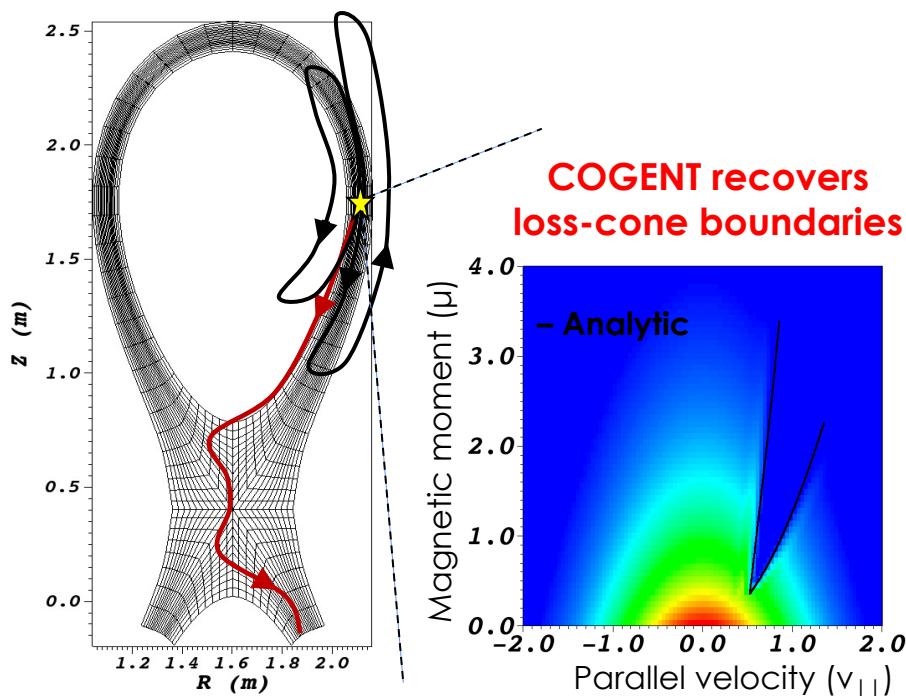
**Strong anisotropy of plasma transport  
motivates the use of field-aligned grids**

**Problem:** the metric coefficients  
diverge at the x-point

**COGENT approach:** the use of  
a multiblock grid technology

# X-point high-order discretization has been verified with 4D COGENT

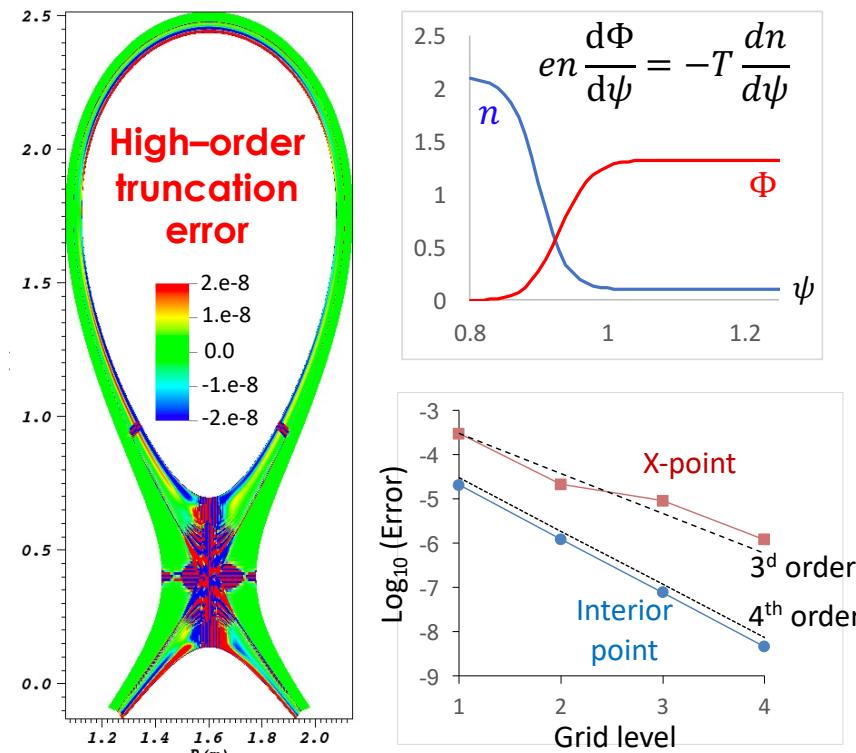
## Collisionless ion losses



Dorf et al, PoP 2016

- Uniform  $n$  and  $T$  Maxwellian is initialized
- Particles are absorbed by divertor plates and outer radial boundaries / E-field is turned OFF

## Boltzmann Equilibrium



Dorr et al, JCP 2017

- High-order convergence is demonstrated
- Maximum error is within de-aligned region

# COGENT E-field models: Gyro-Poisson equation

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Presently, adopt the long-wavelength electrostatic limit

$$\nabla_{\perp} \left( \frac{c^2 m_i n_{i,gc}}{B^2} \nabla_{\perp} \Phi \right) = e n_e - e \left( n_{i,gc} + \frac{1}{m_i \omega_{ci}^2} \nabla_{\perp}^2 \frac{p_{i,\perp}}{2} \right)$$

- Gyrokinetic ions and electrons
  - Most detailed approach
  - Computationally challenging due to stiff electron dynamics
- Gyrokinetic ions and adiabatic electrons,  $n_e = n_{i,gc}^0 \left( 1 + \frac{e\phi}{T_e} - \frac{e\langle\phi\rangle}{T_e} \right)$ 
  - Often used in core codes for ITG turbulence, neoclassical transport, etc
  - Cannot be straightforwardly extended across the separatrix

**Need a computationally efficient model for ion scale  
turbulence in single-null geometries**

# COGENT E-field models: Vorticity model

Hybrid gyrokinetic ion – fluid electron model  $\nabla \cdot \mathbf{j} = 0$

$$\frac{\partial}{\partial t} \varpi + \nabla_{\perp} \left( c \frac{-\nabla_{\perp} \Phi \times \mathbf{B}}{B^2} \varpi \right) + \nabla_{\parallel} \left( V_{i,\parallel} \varpi \right) = \nabla_{\perp} \cdot \int \frac{2\pi}{m_i} e B_{\parallel}^* f_{i,gc} \mathbf{v}_{mag} d\nu_{\parallel} d\mu + \nabla_{\perp} \cdot \left\{ ec \frac{n_{i,gc} T_e}{B} \left( \nabla \times \mathbf{b} + \frac{\mathbf{b} \times \nabla B}{B} \right) \right\} + \nabla \cdot \mathbf{j}_{\parallel}$$

Reynolds stress term
Kinetic  $\nabla \cdot \mathbf{j}_{i,\perp}$ 
Fluid  $\nabla \cdot \mathbf{j}_{e,\perp}$

Vorticity

$$\varpi = \nabla_{\perp} \left( \frac{c^2 m_i n_{i,gc}}{B^2} \nabla_{\perp} \Phi \right) + \frac{e}{m_i \omega_{ci}^2} \nabla_{\perp}^2 \frac{p_{i,\perp}}{2}$$

Neglect the pressure corrections term

Parallel current

$$\mathbf{j}_{\parallel} = \frac{en_e}{0.51m_e v_e} \left( \frac{1}{n_{i,gc}} \nabla_{\parallel} (n_e T_e) - e \nabla_{\parallel} \Phi + 0.71 \nabla_{\parallel} T_e \right)$$

Stiff term (due to the large parallel conductivity) – treat implicitly

Electron density

$$n_e = n_{i,gc} + \nabla_{\perp} \left( \frac{c^2 m_i n_{i,gc}}{e B^2} \nabla_{\perp} \phi \right)$$

Include polarization corrections (required for high-k stabilization)

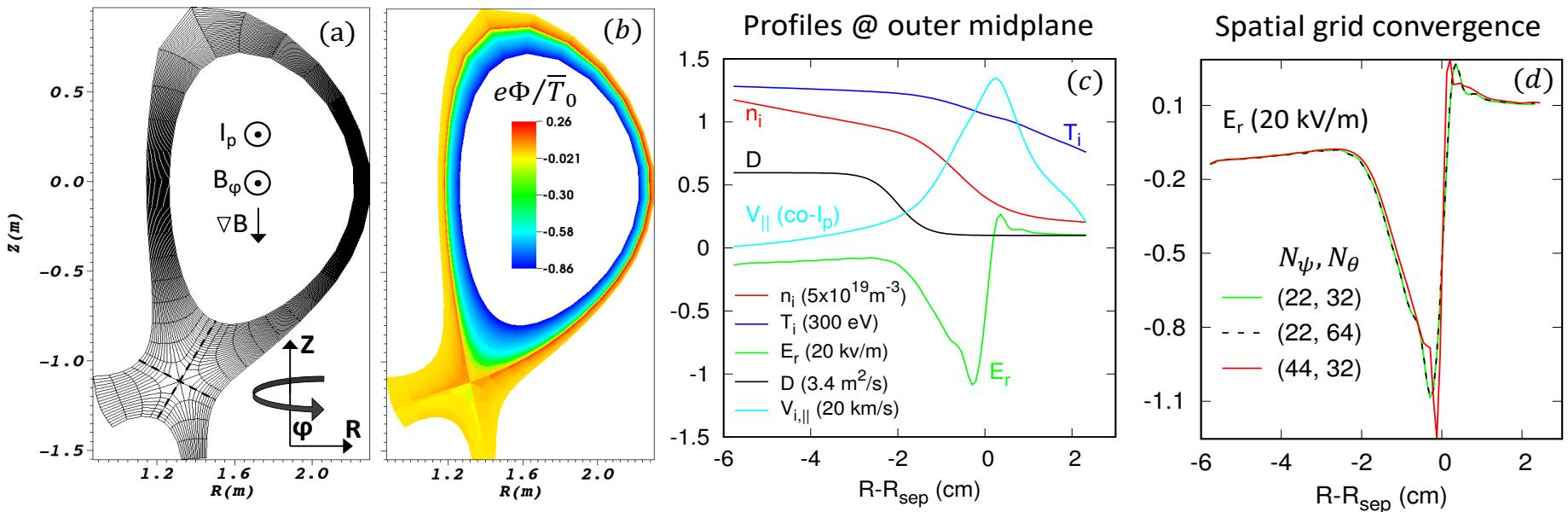
Electron temperature

$$T_e = \text{const}$$

Consider a simple isothermal electron model

Hybrid vorticity model allows for computationally efficient cross-separatrix simulations with self-consistent E-fields

# 4D COGENT: qualitative agreement with DIII-D H-mode co- $I_p$ rotation and $E_r$ is observed



- Hybrid vorticity model with isothermal  $T_e = 300$  eV
- Full ion-ion Fokker-Planck collisions
- 1 ms  $\leftrightarrow$  64 CPU hours (0.5 h  $\times$  128 cores)
- Grid resolution (core:  $N_\psi = 22, N_\theta = 32, N_{v\parallel} = 36, N_\mu = 24$ )

Near-separatrix DIII-D

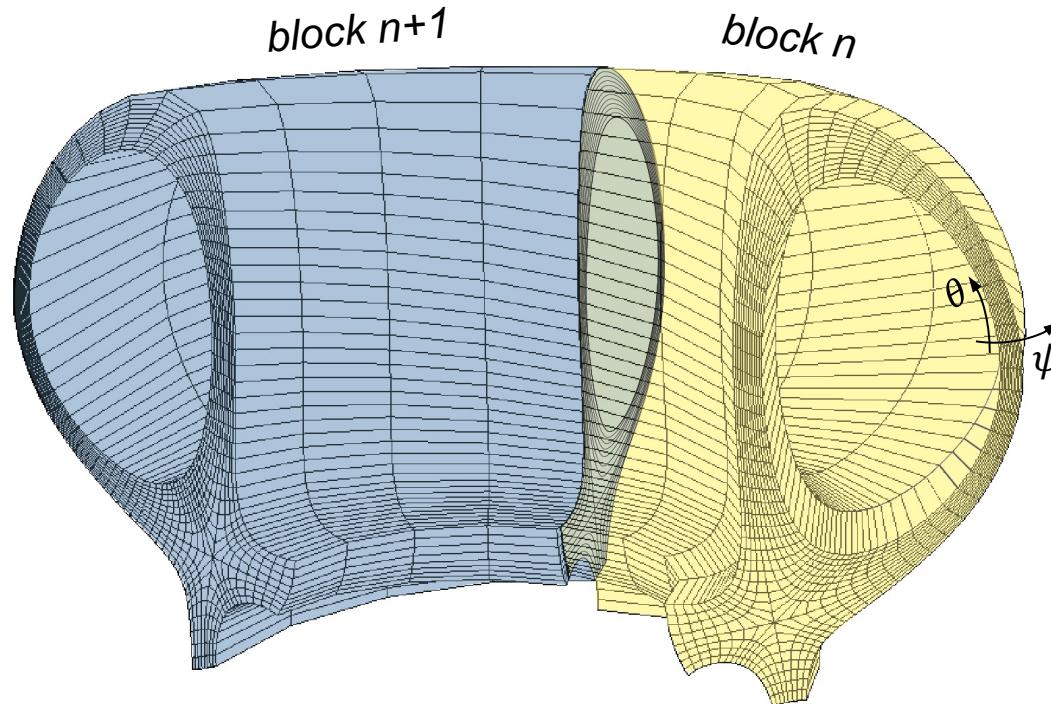
$$E_r \sim 20 \frac{\text{kV}}{\text{m}} \quad V_{\parallel} \sim 40 \frac{\text{km}}{\text{s}}$$

Boedo et al., PoP 2016

# 5D COGENT: locally field-aligned multiblock approach

To exploit strong anisotropy of microturbulence

- Toroidal direction is divided into block (wedges)
- Cell volumes are field-aligned (F-A) within each block



## EDGE (COGENT)

$(\psi, \theta)$  - fine  $\perp$  coordinates  
 $\phi$  - coarse  $\parallel$  coordinate

Efficient for X-point modeling

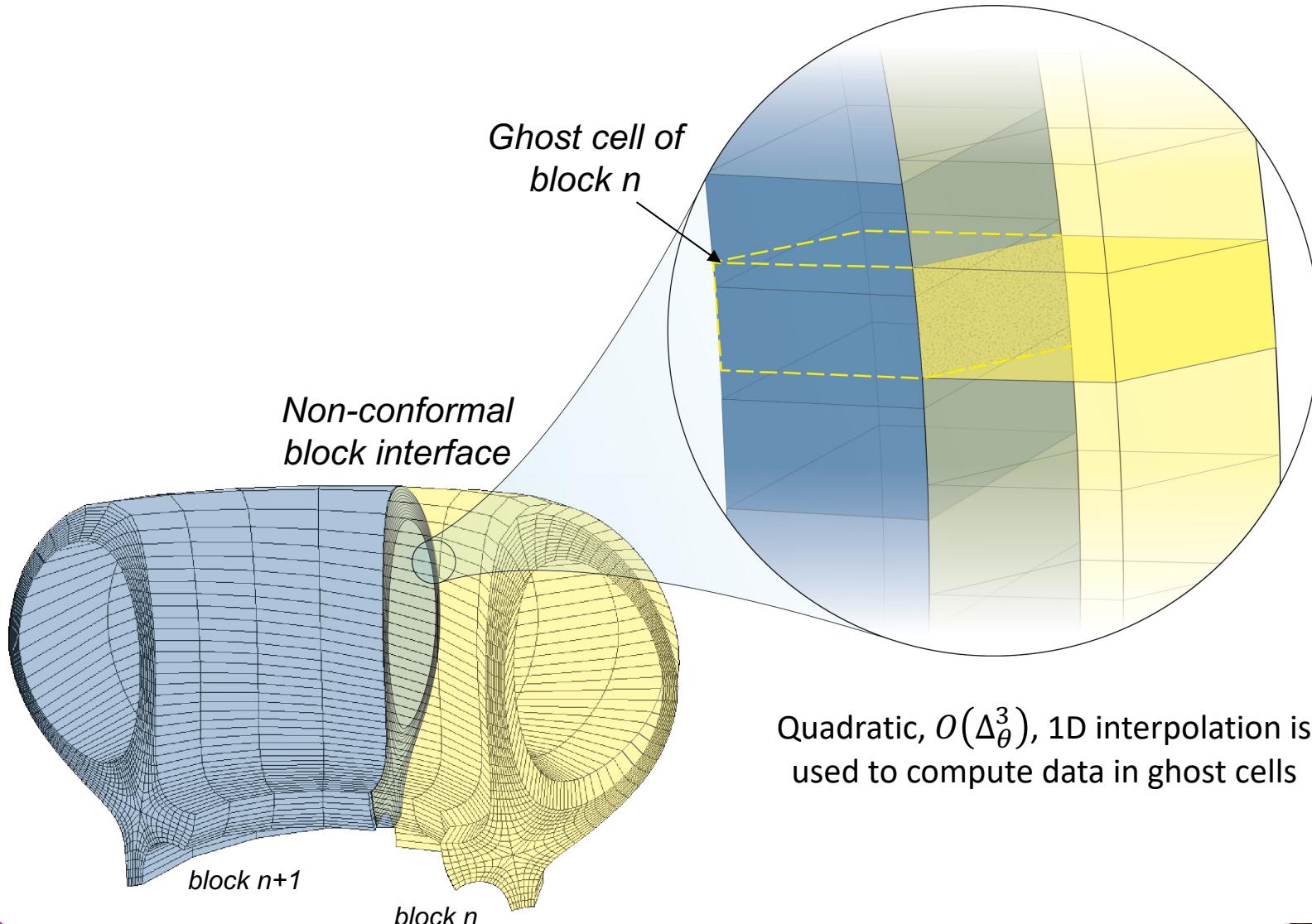
## CORE (GYRO, BOUT)

$(\psi, \phi)$  - fine  $\perp$  coordinates  
 $\theta$  - coarse  $\parallel$  coordinate

Efficient for high-n wedge modeling

The approach is conceptually similar to the FCI approach (Hariri, CPC 2013),  
but maintains flux surfaces (presently, including the X-point region)

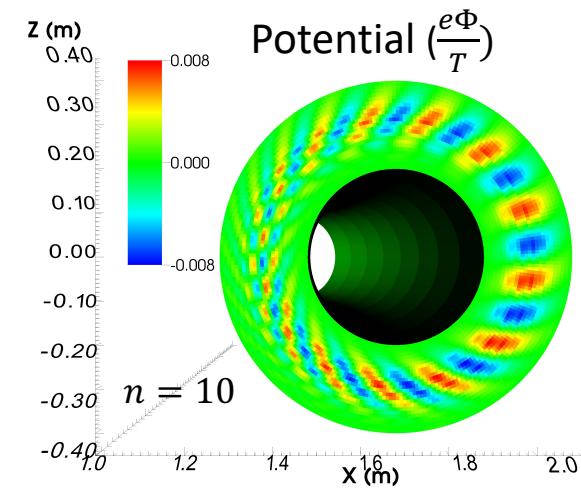
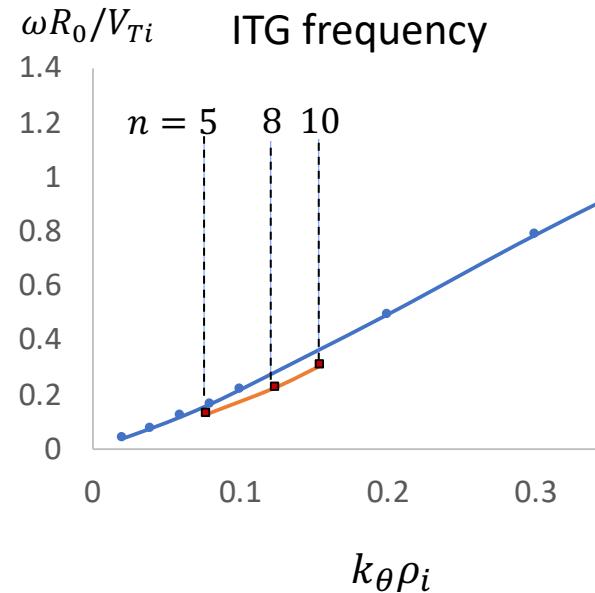
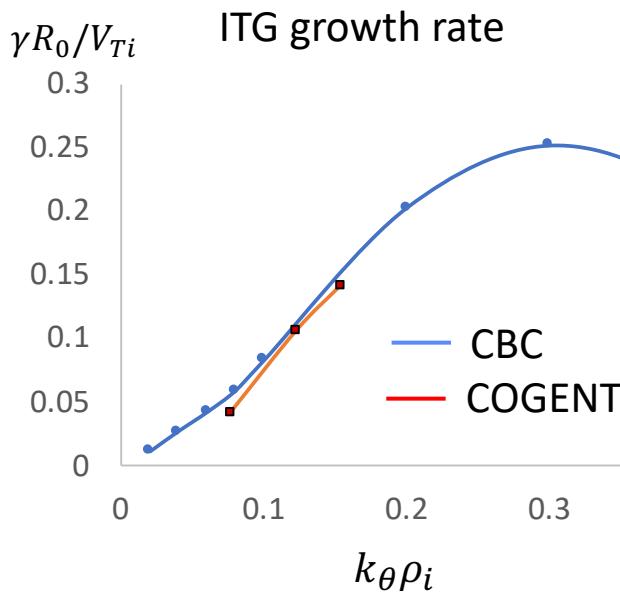
# Interpolation is employed at a block interface



# Cyclone Base Case verification

- Full-F toroidal  $(r, \theta, \phi)$  version of the code is used; filtering of toroidal harmonics is applied
- Gyro-Poisson (GP) model with adiabatic electron response is used

**Long-wavelength part of CBC spectrum is recovered**



## Simulation parameters

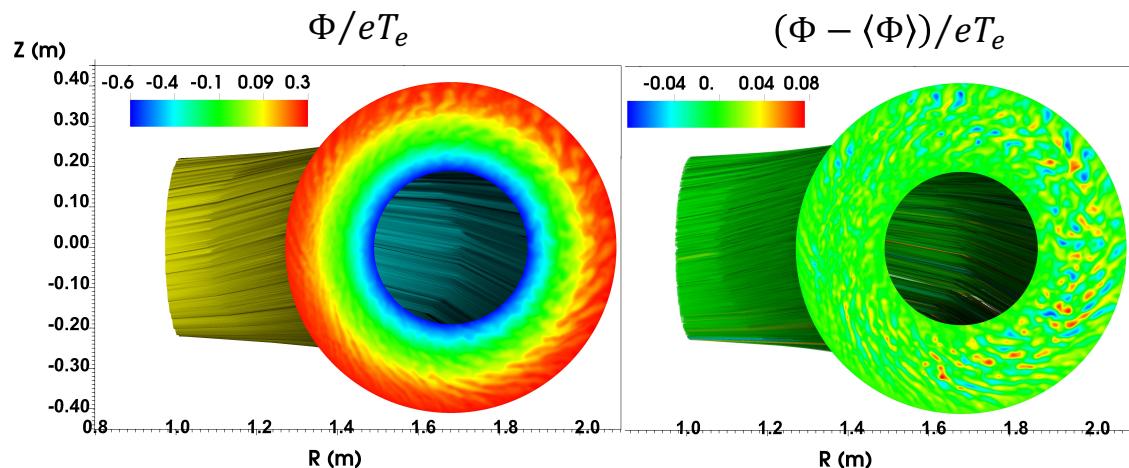
$\rho_i/a = 1/181$ ,  $q = 1.4$ ,  $s = 0.78$ ,  $R_0/L_T = 6.9$ ,  $R_0/L_n = 2.3$ ,  $m_i = 2m_p$

## Grid resolution

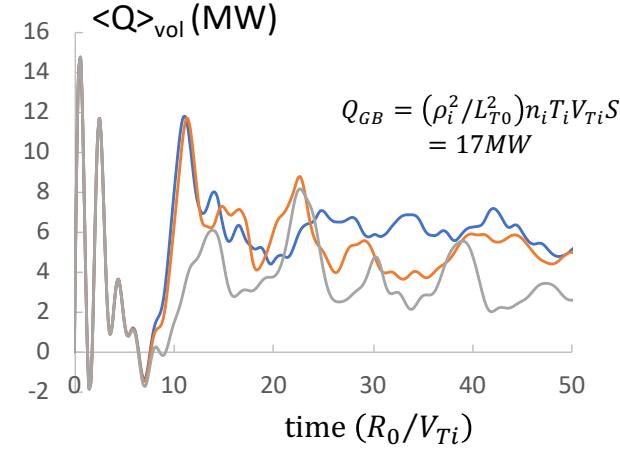
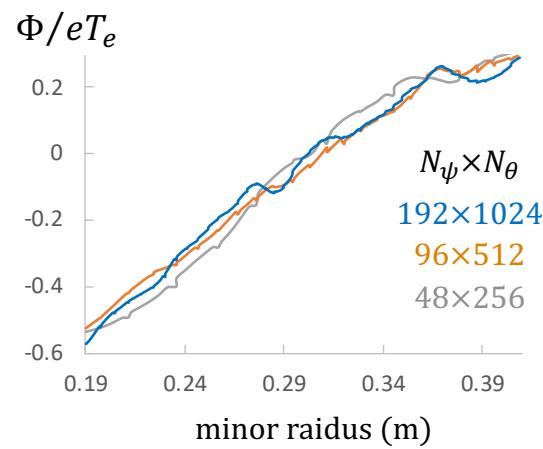
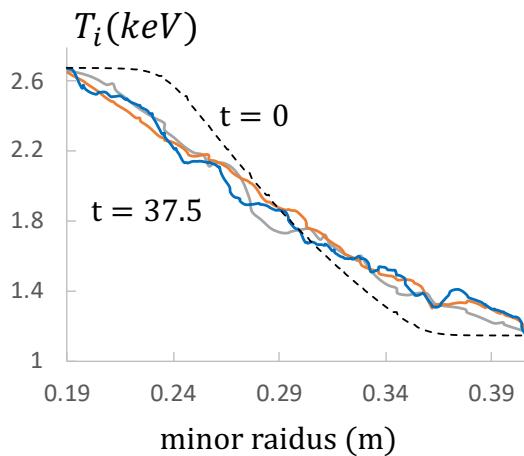
$(N_r, N_\phi, N_\theta, N_{v\parallel}, N_\mu) = (24, 8, 256, 32, 24)$

# ITG-driven full-F transport simulation in toroidal annulus

- F-A coordinates,  $\Delta\phi_{wedge} = \pi/2$
- GP model with adiabatic electrons\*
- Self-consistent BC is used  
**no buffer zones required**
- $$\left\langle n_i m_i c^2 \frac{|\nabla\psi|^2}{B^2} \right\rangle \frac{\partial^2 \Phi}{\partial t \partial \psi} = \langle \mathbf{j}_i^{GC} \cdot \nabla \psi \rangle$$
- Linearized model collisions included



Spatial resolution studies demonstrate convergence at  $\Delta_{\perp} \sim \rho_i$



# Field-aligned mapping provides significant computational efficiency in full-torus simulations

## Microturbulence anisotropy

$$k_{\perp}\rho_i \sim 1, k_{\parallel} \sim 1/(qR_0) \quad m \approx q \cdot n$$

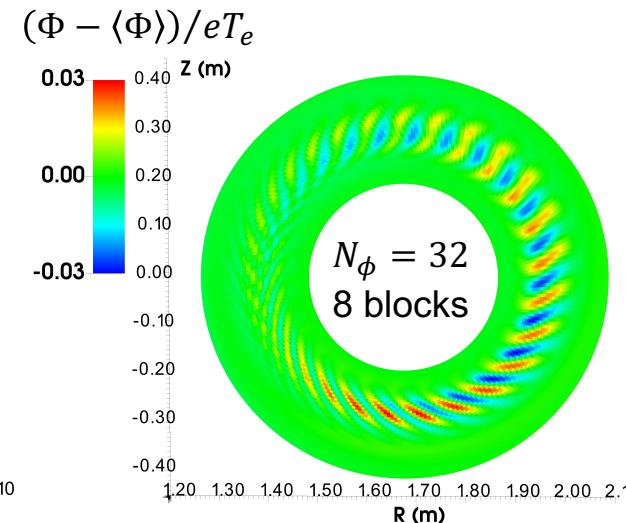
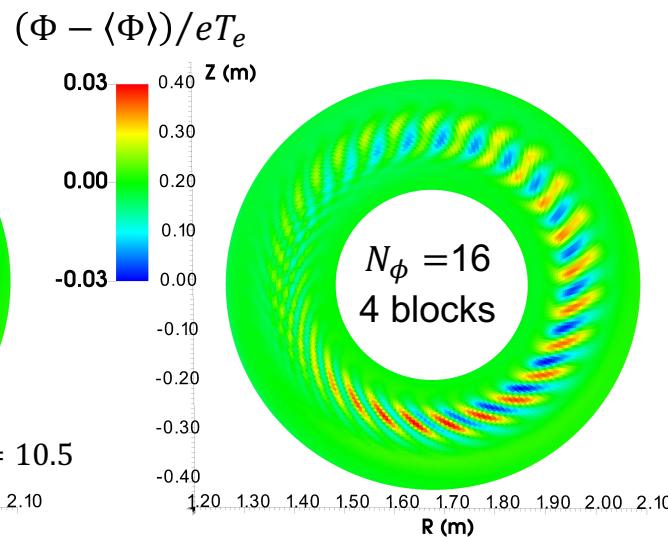
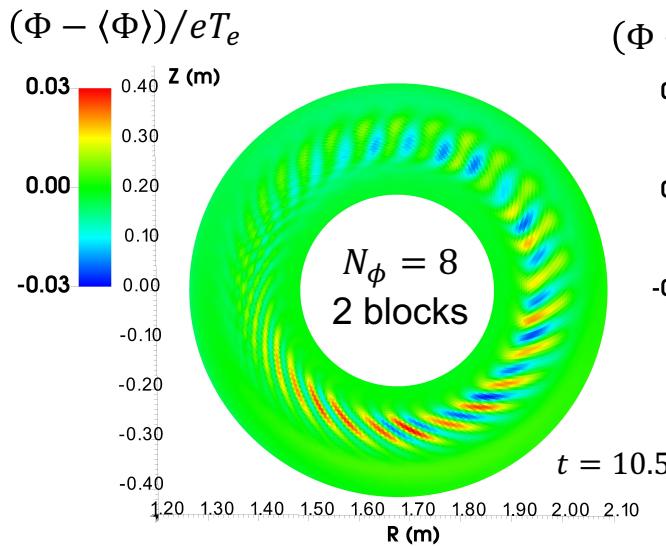
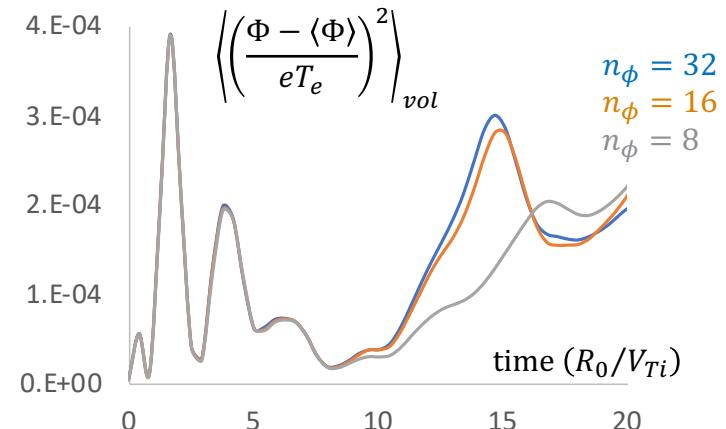
Toroidal coordinates version would require

Field-aligned version requires only

$$N_{\phi} \sim \frac{N_{\theta}}{q} = 365 \text{ cells}$$

$$N_{\phi} = 16 \text{ cells}$$

Convergence in full-torus sims is achieved with only 16 toroidal cells

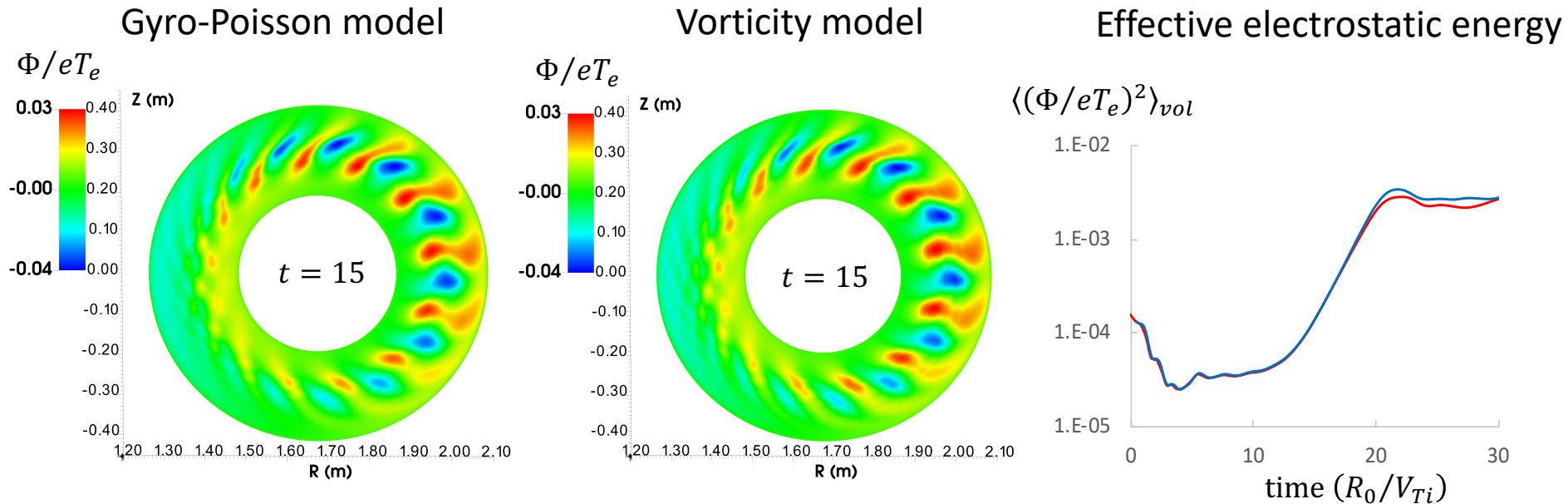


# Vorticity model verification: consistency with gyro-Poisson model is confirmed in full-F ITG simulations

- $\sigma_{\parallel}$  corresponds to weakly-collisional electrons  $qR_0v_e/V_{T_e} \sim 10$
- Initialization: Canonical Maxwellian
  - Provides equilibrium for full-F simulations

$$F_0 = n(\bar{\psi}) \left[ \frac{m_i}{2\pi T(\bar{\psi})} \right]^{\frac{3}{2}} \exp \left( -\frac{m_i v_{\parallel}^2}{2T(\bar{\psi})} - \frac{\mu B}{T(\bar{\psi})} \right), \quad \bar{\psi} = \psi + \frac{m_i}{Z_i e} \frac{RB_{\phi}}{B} v_{\parallel}$$

*Motion invariant*



# Vorticity model verification II: resistive-drift mode is recovered

Slab test model ( $B=\text{const}$ ) is considered

$$\frac{\partial f}{\partial t} + \nabla \left\{ c \frac{[\mathbf{E} \times \mathbf{B}]}{B^2} f \right\} = 0 \quad \frac{\partial}{\partial t} \varpi = \nabla_{\parallel} j_{\parallel}$$

ExB drift motion
Quasineutrality

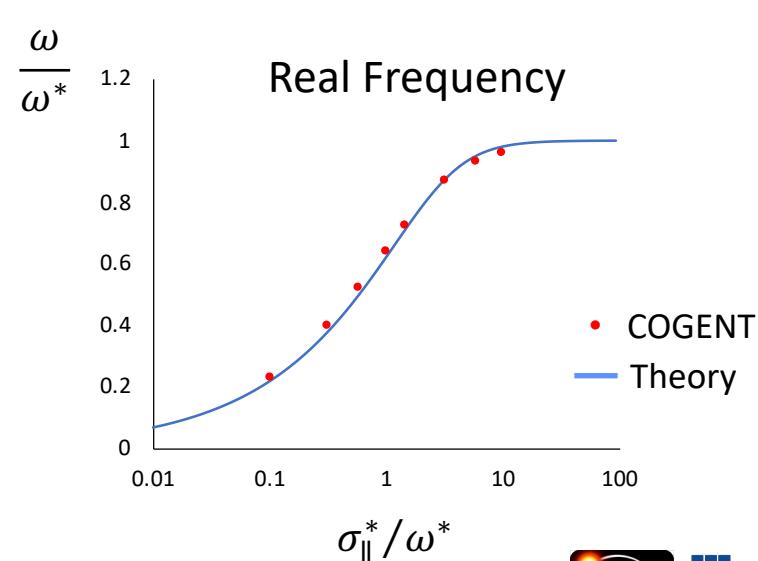
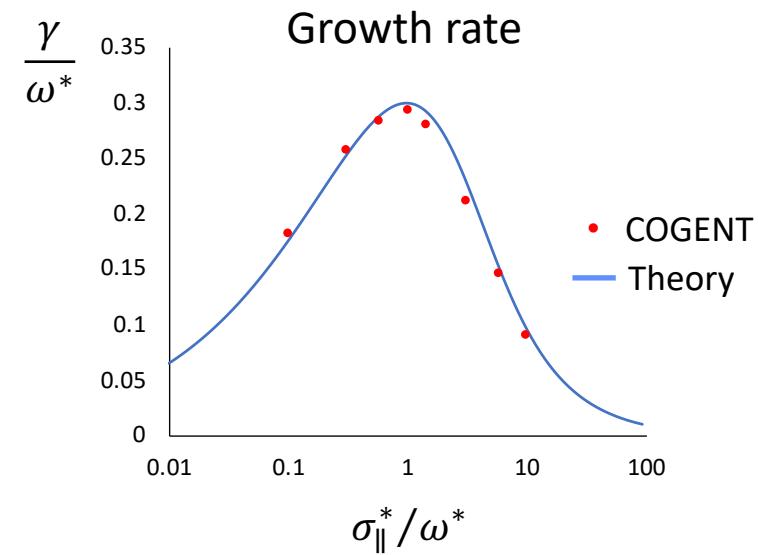
$$\text{Parallel current} \quad j_{\parallel} = \frac{en_e}{0.51m_e v_e} \left( \frac{T_e}{n_{i,gc}} \nabla_{\parallel} n_e - e \nabla_{\parallel} \phi \right)$$

$$\text{Electron density} \quad n_e = n_{i,gc} + \nabla_{\perp} \left( \frac{c^2 m_i n_{i,gc}}{e B^2} \nabla_{\perp} \phi \right)$$

## Dispersion relation

$$\left(\frac{\omega}{\omega^*}\right)^2 - i \frac{\sigma_{\parallel}^*}{\omega^*} \left(\frac{\omega}{\omega^*} - 1\right) = 0$$

$$\sigma_{\parallel}^* = \left(\frac{k_{\parallel}}{k_{\perp}}\right)^2 \frac{\omega_{ci}\omega_{ce}}{0.51\nu_e} (1 + k_{\perp}^2 \rho_s^2), \quad \omega^* = \frac{k_{\perp} V_{Te}^2}{\omega_{ce} L_n} \frac{1}{(1 + k_{\perp}^2 \rho_s^2)}$$



# Vorticity model: numerical pollution issue

$$\frac{\partial}{\partial t} \varpi + \nabla_{\perp} \left( c \frac{-\nabla_{\perp} \Phi \times \mathbf{B}}{B^2} \varpi \right) = \nabla_{\perp} \cdot (\mathbf{j}_{\perp,i}^{GC} + \mathbf{j}_{\perp,e}) + \nabla \cdot \mathbf{j}_{\parallel}$$

Polarization  
current

Reynold-Stress  
term

Determines  $E_{\parallel}$  on closed field  
lines, where  $\langle \nabla \cdot \mathbf{j}_{\parallel} \rangle \equiv 0$

Dominant term  
determines  $E_{\parallel}$

- Significant numerical pollution can occur if  $\langle \nabla \cdot \mathbf{j}_{\parallel} \rangle \equiv 0$  is not discretely enforced on closed field lines
- Can be important for other codes involving remapping: e.g., BOUT, GBS, GRILLIX, GDB

Truncation errors in  $\langle \nabla \cdot \mathbf{j}_{\parallel} \rangle \sim \langle \nabla_{\parallel} \sigma_e \nabla_{\parallel} p_e / e n_e \rangle$   
due remapping/interpolation of order  $n$

$$Er\{\langle \nabla \cdot \mathbf{j}_{\parallel} \rangle\} \sim \frac{e T_e}{\nu_e m_e} \frac{\delta n}{q^2 R_0^2} (k_{\perp} \Delta_{\theta})^n$$

Magnitude of RS term

$$\langle RS \rangle = \langle \nabla_{\perp} \left( c \frac{[\nabla_{\perp} \delta \Phi \times \mathbf{B}]}{B^2} \delta \varpi \right) \rangle \sim c^3 \frac{n_i m_i}{B^3} k_{\perp}^4 \delta \Phi^2$$

Adopt standard ordering for turbulence

$$\frac{\delta n_{tb}}{n_e} \sim \frac{e \delta \Phi_{tb}}{T_e} \sim \frac{\rho_i}{L_{eq}}, k_{\perp} \rho_i \sim 1$$

$$\frac{Er\{\langle \nabla \cdot \mathbf{j}_{\parallel} \rangle\}}{\langle RS \rangle} \ll 1 \leftrightarrow \left( \frac{\Delta_{\theta}}{\rho_i} \right)^n \ll \frac{q R_0}{L_{eq}} \frac{\nu_e q R_0}{V_{Te}} \frac{T_e^2}{T_i^2} \frac{V_{Ti}}{V_{Te}}$$

Consider DIII-D edge ( $q R_0 \nu_e / V_{te} \sim 1$ )

$q \sim 3$ ,  $R_0 \sim 1.6$  m,  $T_i \sim 300$  eV,  $T_e \sim 50$  eV,  $B \sim 1.6$  T,  $B_p/B \sim 0.2$

$$(\Delta_{\theta} / \rho_i)^n \ll 10^{-3} q R_0 / L_{eq}$$

More strenuous condition than standard  $\Delta_{\theta} \lesssim \rho_i$

COGENT approach:  $\nabla \cdot \mathbf{j}_{\parallel} \rightarrow \nabla \cdot \mathbf{j}_{\parallel} - \langle \nabla \cdot \mathbf{j}_{\parallel} \rangle_{COGENT}$

# Deleterious effects of $\langle \nabla \cdot j_{\parallel} \rangle \neq 0$ numerical pollution are confirmed in ITG simulations

Consider ITG simulations with  $\sigma_{\parallel}$  corresponding to moderately-collisional electrons  $qR_0\nu_e/V_{T_e} \sim 1$

Linear stage

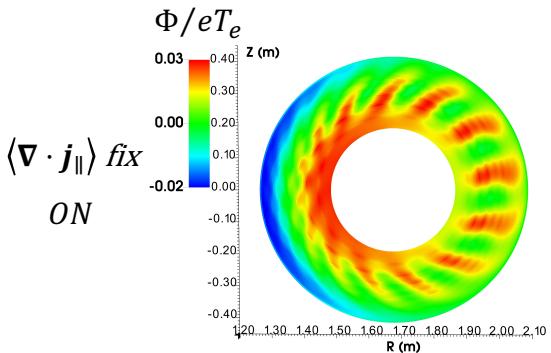
Pollution errors are insignificant

Nonlinear stage

Pollution errors can **dominate**  $\langle \Phi \rangle$  solution

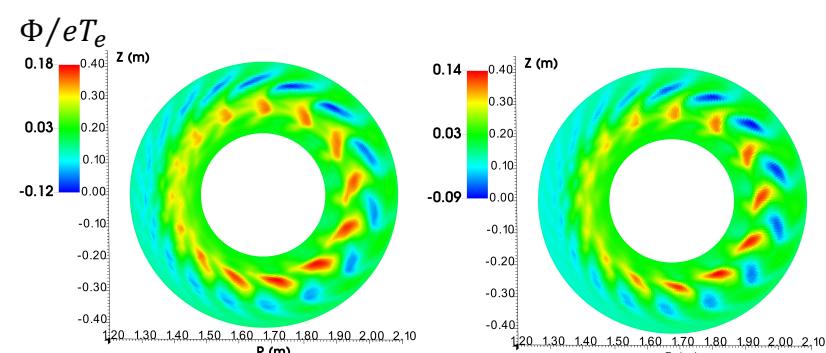
High-res ( $n_{\theta} = 512$ )

Low-res ( $n_{\theta} = 256$ )

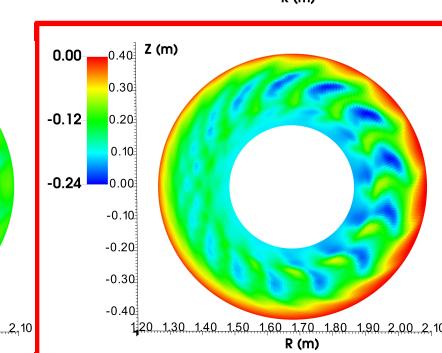


High-res ( $n_{\theta} = 512$ )

Low-res ( $n_{\theta} = 256$ )



$\Phi/eT_e$



Canonical Maxwellian,  $T_0=7$  keV,  $R_0/L_{T0}=16$ ,  $R_0/L_{n0}=5$ , collisions – OFF,  $(N_r, N_{\phi}, N_{v_{\parallel}}, N_{\mu}) = (48, 8, 32, 24)$

# Proof-of-principle ITG simulation in a single-null geometry

Vorticity model  $\sigma_{\parallel} \leftrightarrow V_{T_e}/qR_0\nu_e \sim 0.4$

Canonical Maxwellian,  $T_0=7$  keV

Boundary conditions ( $\Phi$ ):

- Zero-Dirichlet @ diverter plates
- Zero Neumann @ radial boundaries

Boundary conditions ( $f$ ):

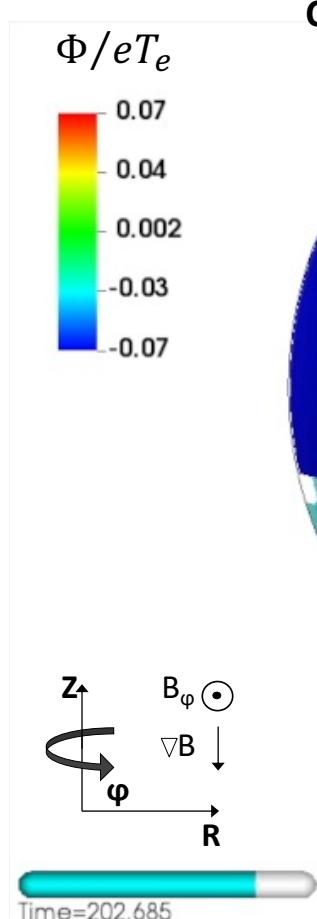
- Inflow fluxes correspond to the initial distribution @ all boundaries

F-A version  $\Delta\phi_{wedge} = 2\pi/16$

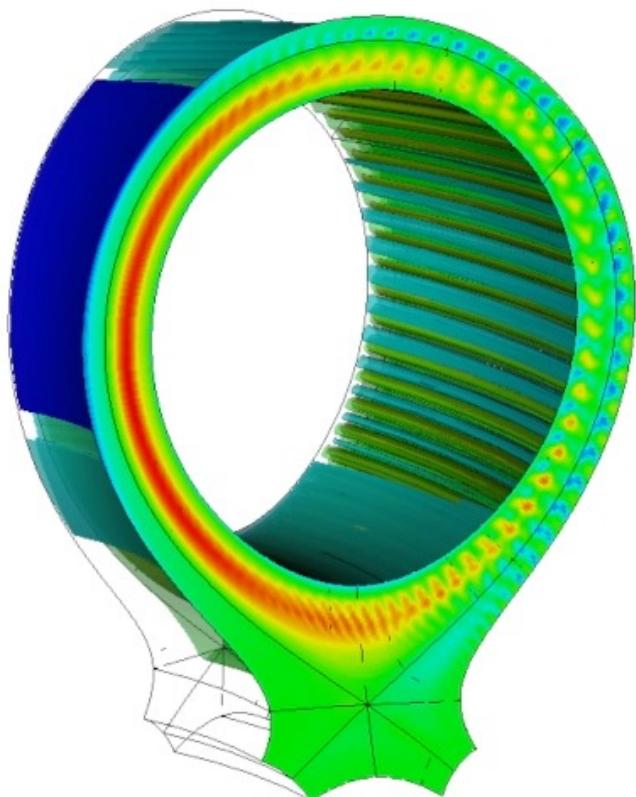
Resolution  $(N_r, N_\phi, N_\theta, N_{v\parallel}, N_\mu)$   
 $(52,4,548,32,24)$

Time step  $dt = 0.01 R_0/V_{Ti}$

Performance 1 step  $\leftrightarrow 4$ s  
Cori 1344 cores



Canonical Maxwellian initialization



Model geometry

$$R_0 = 1.6 \text{ m}, q \sim 4, RB_\phi = 3.5 \text{ T} \cdot \text{m}$$

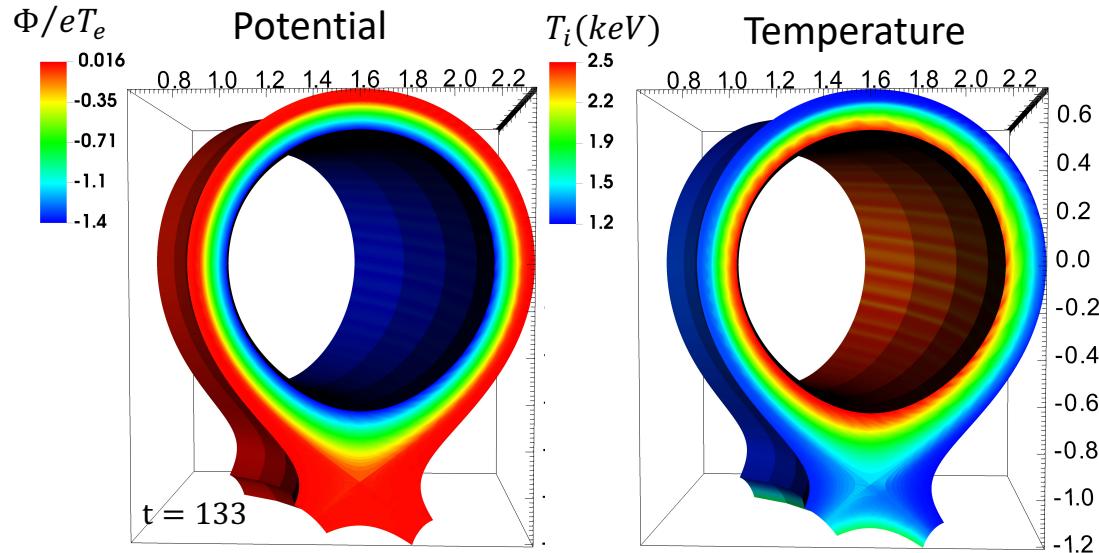
# ITG-driven full-F transport simulation in a SN geometry

- F-A version,  $\Delta\phi_{wedge} = 2\pi/8$
- Vorticity model,  $\sigma_{\parallel} \leftrightarrow V_{T_e}/qR_0\nu_e \sim 0.4$

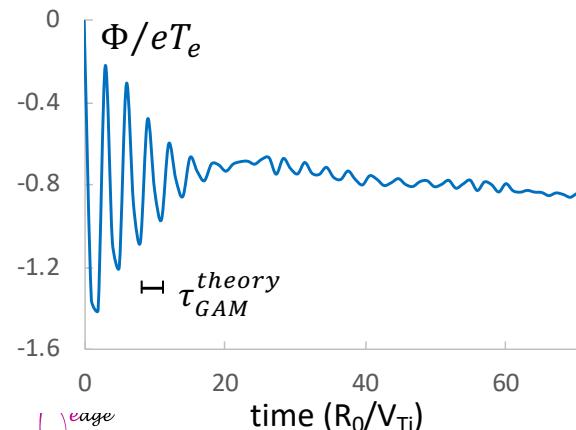
- Self-consistent BC is used  
**no buffer zones required**

$$\left\langle n_i m_i c^2 \frac{|\nabla \psi|^2}{B^2} \right\rangle \frac{\partial^2 \Phi}{\partial t \partial \psi} = \langle \mathbf{j}_i^{GC} \cdot \nabla \psi \rangle$$

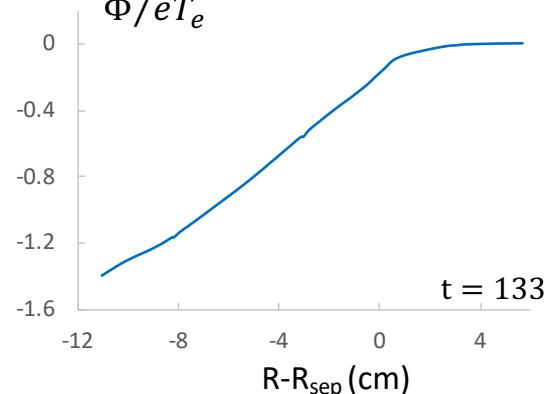
- Local Maxwellian is initialized
- Poloidal resolution,  $\Delta_{\perp} \sim \rho_i$
- Collisions are not included



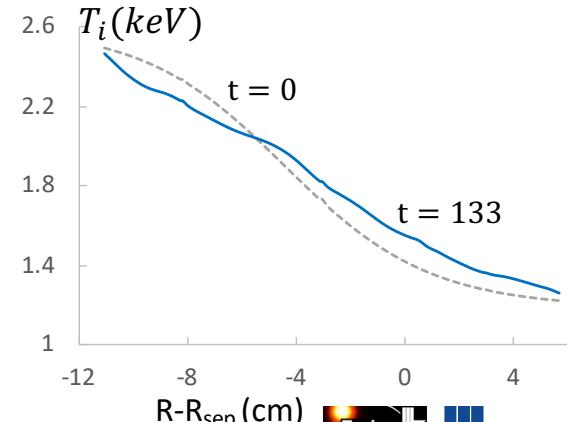
Potential relaxation exhibits GAMs



Potential barrier develops

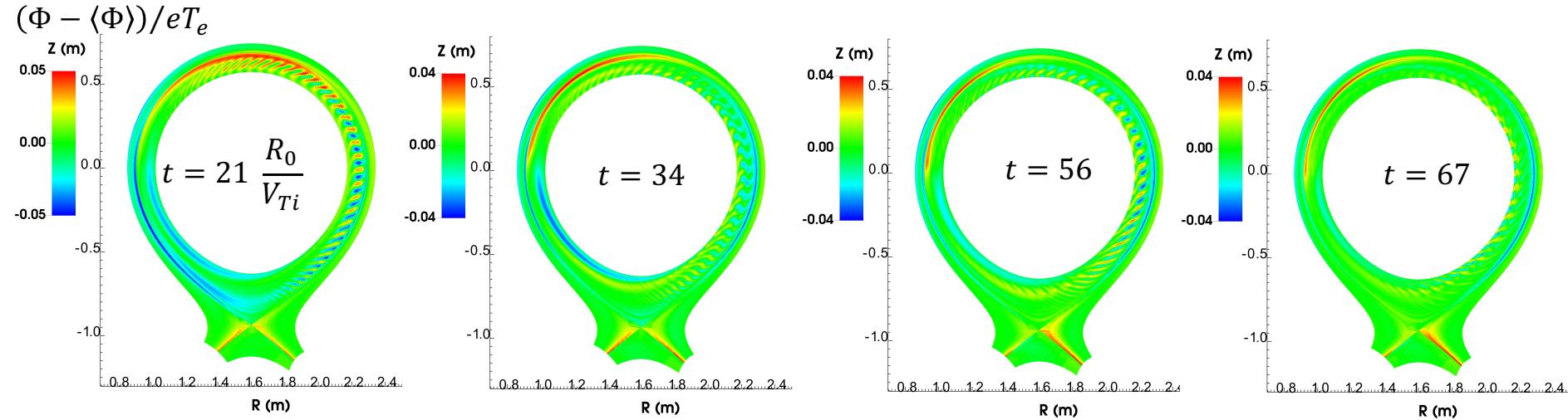


Temperature relaxation observed

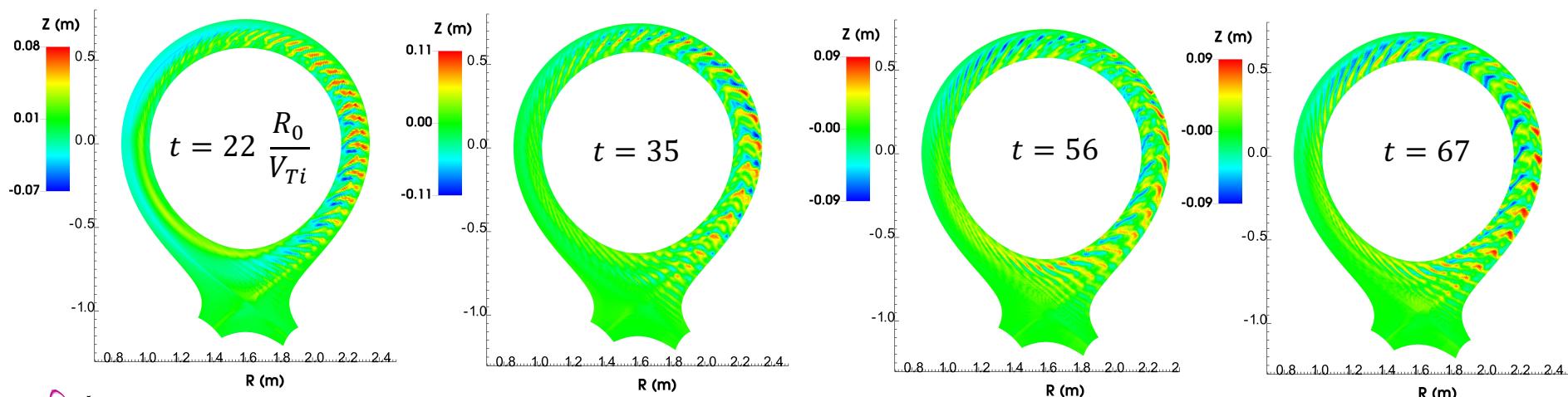


# Effects of a self-consistent $\langle\Phi\rangle$ on the ITG turbulence

Self-consistent  $\langle\Phi\rangle$  is retained: intermittent turbulence behavior



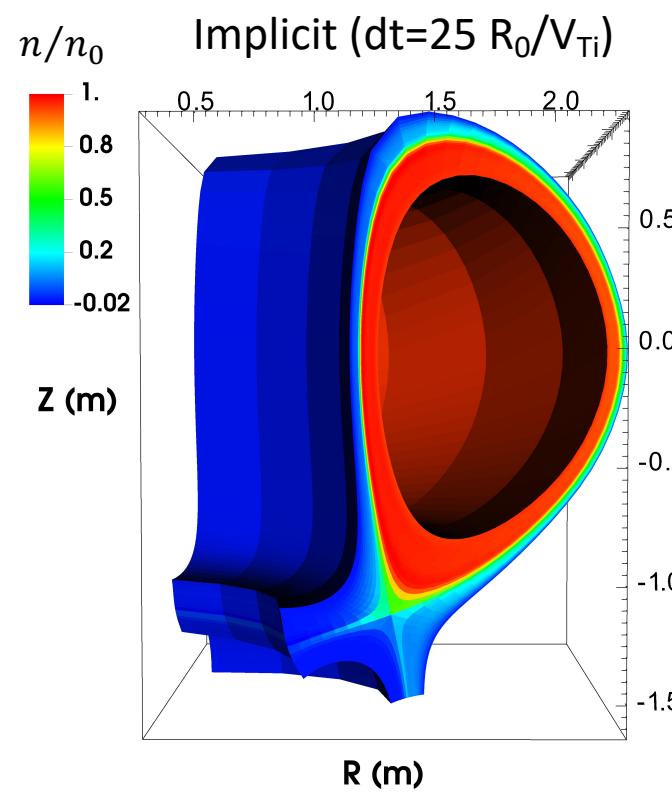
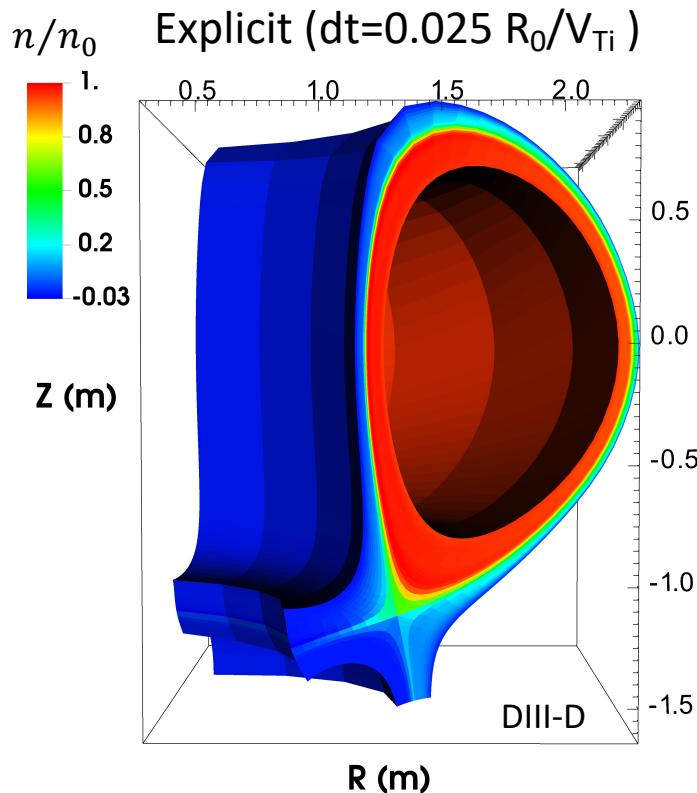
$\langle\Phi\rangle$  is artificially suppressed: stronger steady turbulence



# Moving toward implicit kinetic electrons: implicit advection capability has been implemented

## COGENT employs ImEx time integration capability

- allows implicit treatment of selected stiff terms
- makes use of the Newton-Krylov methods / requires preconditioning for efficiency
- here, use hypre's pAIR AMG solver for a low-order (UW1) passive advection preconditioner



- Passive advection test  
Initially uniform  $n$  and  $T$   
plasma absorbed on the plates and outer radial boundaries
- DIII-D like parameters

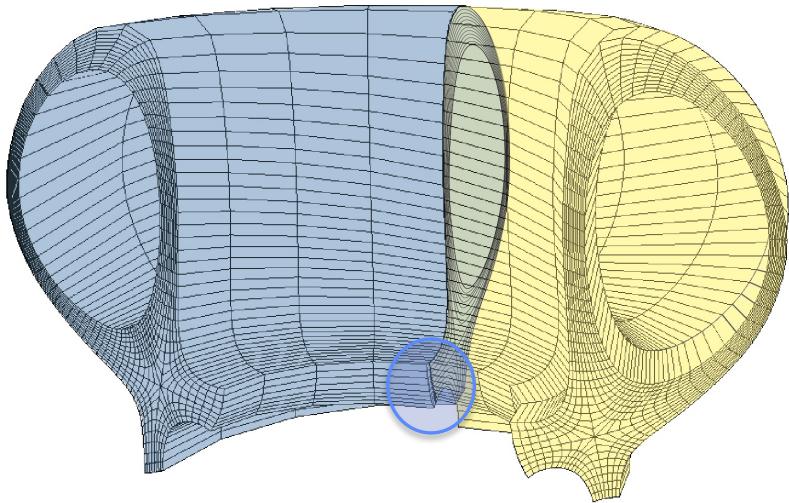
x20 runtime speed-up is demonstrated

# Conclusions

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- The **first continuum** full-F gyrokinetic **cross-separatrix** simulations of
  - 4D axisymmetric transport
  - 5D ion-scale turbulenceare performed with the COGENT code
- COGENT is distinguished by
  - High-order finite-volume discretization
  - Mapped multiblock grid technology and locally field-aligned grids
- Present capabilities include
  - 2D/3D gyro-Poisson and vorticity models for electrostatic potential
  - Various collision models (including nonlinear Fokker-Planck)
  - Implicit-Explicit (ImEx) time integration capabilities
  - Fluid models for electron and neutral species
- Future directions:
  - Applications: L-H transition, divertor heat-flux width
  - Capabilities: electromagnetics, kinetic electrons, FLRs

# Approximate divertor boundary condition is used

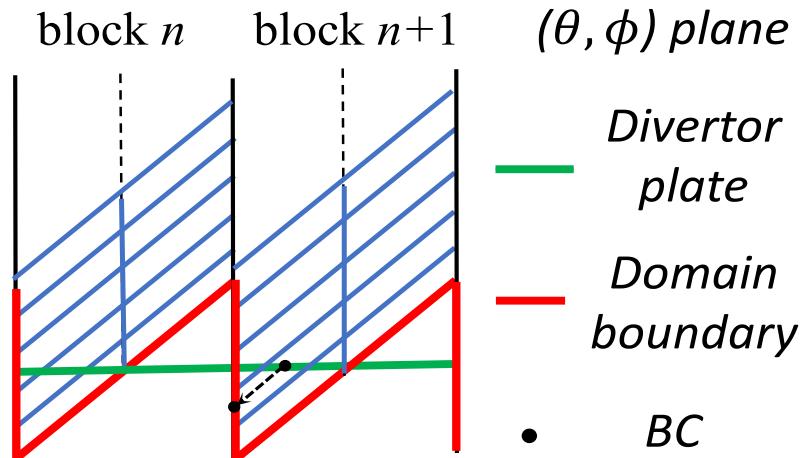


Toroidal angle measures a field-aligned coordinate



$\theta = \text{const}$  divertor plates are not aligned with the grid

Challenges with divertor BCs: divertor plates are not aligned with the computational grid



- Present approximation makes use of small parallel derivatives in  $f$  and  $\Phi$ .

Example: grounded plates – impose  $\Phi = 0$  at the simulation domain boundary (shown in red)