

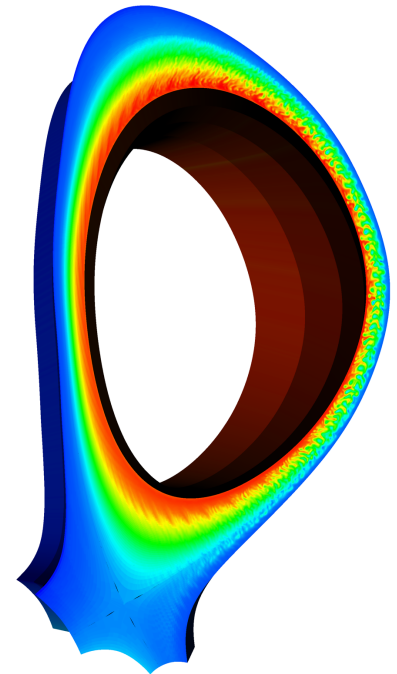
# A hybrid gyrokinetic ion – fluid electron model for edge plasma simulations

M. Dorf, M. Dorr, D. Ghosh, and M. Umansky

dorf1@llnl.gov; LLNL, Livermore, CA USA



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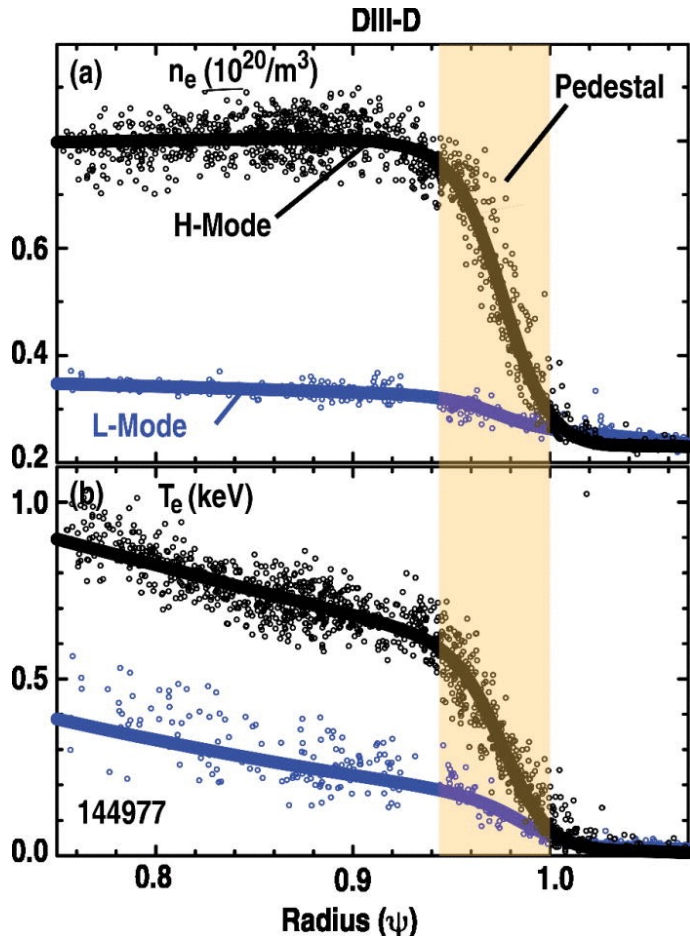
# OUTLINE

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- Overview of the COGENT code
- Hybrid (GK-ion – fluid electron) electrostatic vorticity model
  - Overview of electrostatic model results
- Extending the hybrid vorticity model to include EM effects
  - Verification, uniform slab
  - IMEX time integration (physics-based preconditioner)
  - Preliminary toroidal results
- Development of an MHD module
- Conclusions

# Tokamak edge plasma simulations can benefit from the use of high-order continuum methods

*Radial scales are comparable to ion drift orbit excursions*



- H-mode is distinguished by strong edge plasma gradients
- $F_0$  strongly deviates from Maxwellian
- Requires solving the full-F problem:
  - Low-amplitude turbulence ( $f_1$ ) & quasi-equilibrium dynamics ( $F_0$ )
- Motivates the use of continuum methods:
  - Free of particle noise (cf. PIC)
  - Can take advantage of high-order methods

**Successful applications of continuum methods to cross-separatrix modeling is demonstrated with the COGENT code**

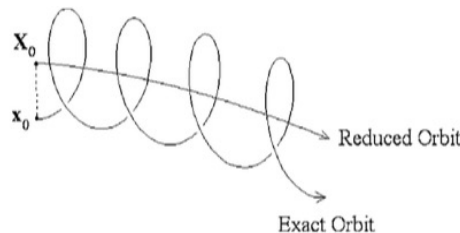
# Continuum gyrokinetic code COGENT has been developed as part of the Edge Simulation Laboratory (ESL) collaboration

High-order (4<sup>th</sup>-order) finite-volume Eulerian gyrokinetic code

COGENT

## Physics models (LLNL/UCSD)

- Multispecies full-F gyrokinetic equations
- Self-consistent electrostatic potential
- Collisions (including full Fokker-Planck)
- Anomalous transport models (in 4D)



$$\frac{\partial B_{\parallel}^* f}{\partial t} + \nabla_R(\dot{R}_{gc} B_{\parallel}^* f) + \frac{\partial}{\partial v_{\parallel}}(\dot{v}_{\parallel} B_{\parallel}^* f) = C[B_{\parallel}^* f]$$

## Math algorithms (LLNL/LBNL)

- High-order mapped-multiblock technology to handle X-point
- Advanced multigrid solvers
- Advanced time integrators (ImEx)

Tokamak applications  
(AToM, ESL, PSI)



Low-Temp



**COGENT**

Z-pinch

New collaborations welcome!



# First-principles and reduced models in core gyrokinetics

Gyrokinetic Poisson equation (adopt long-wavelength limit)

$$\nabla_{\perp} \left( \frac{c^2 m_i n_{i,gc}}{B^2} \nabla_{\perp} \Phi \right) = e n_e - e \left( n_{i,gc} + \frac{1}{m_i \omega_{ci}^2} \nabla_{\perp}^2 \frac{p_{i,\perp}}{2} \right)$$

- Gyrokinetic ions and electrons
  - Most detailed approach
  - Computationally challenging due to stiff electron dynamics
- Gyrokinetic ions and adiabatic electrons,  $n_e = n_{i,gc}^0 \left( 1 + \frac{e\phi}{T_e} - \frac{e\langle\phi\rangle}{T_e} \right)$ 
  - Often used in core codes for ITG turbulence, neoclassical transport, etc
  - Cannot be straightforwardly extended across the separatrix
  - Does not capture resistive effects important in the cooler edge region

**Need a computationally efficient (reduced) model for simulations of ion scale turbulence in single-null geometries**

# Hybrid GK ion – fluid electron vorticity model ( $\nabla \cdot \mathbf{j} = 0$ )

$$\frac{\partial}{\partial t} \varpi + \nabla_{\perp} \left( c \frac{-\nabla_{\perp} \Phi \times \mathbf{B}}{B^2} \varpi \right) + \nabla_{\parallel} (V_{i,\parallel} \varpi) = \nabla_{\perp} \cdot \int \frac{2\pi}{m_i} e B_{\parallel}^* f_{i,gc} \mathbf{v}_{mag} dv_{\parallel} d\mu + \nabla_{\perp} \cdot \left\{ e c \frac{n_{i,gc} T_e}{B} \left( \nabla \times \mathbf{b} + \frac{\mathbf{b} \times \nabla B}{B} \right) \right\} + \nabla \cdot \mathbf{j}_{\parallel}$$

*Reynolds stress term*

*Kinetic  $\nabla \cdot \mathbf{j}_{i,\perp}$*

*Fluid  $\nabla \cdot \mathbf{j}_{e,\perp}$*

Vorticity

$$\varpi = \nabla_{\perp} \left( \frac{c^2 m_i n_{i,gc}}{B^2} \nabla_{\perp} \Phi \right) + \frac{e}{m_i \omega_{ci}^2} \nabla_{\perp}^2 \frac{p_{i,\perp}}{2}$$

*Neglect the pressure corrections term*

Parallel current

$$j_{\parallel} = \frac{e n_e}{0.51 m_e v_e} \left( \frac{1}{n_{i,gc}} \nabla_{\parallel} (n_e T_e) - e \nabla_{\parallel} \Phi + 0.71 \nabla_{\parallel} T_e \right)$$

*Stiff term (due to the large parallel conductivity) – treat implicitly*

Electron density

$$n_e = n_{i,gc} + \nabla_{\perp} \left( \frac{c^2 m_i n_{i,gc}}{e B^2} \nabla_{\perp} \phi \right)$$

*Include polarization corrections (required for high-k stabilization)*

Electron temperature

$$T_e = \text{const}$$

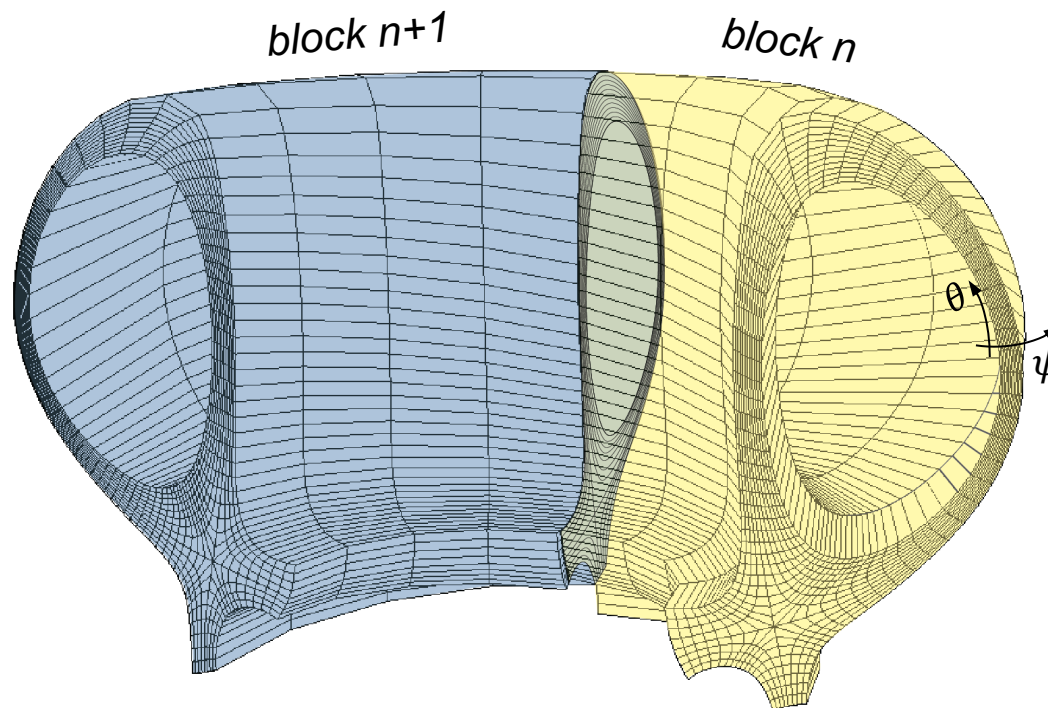
*Consider a simple isothermal electron model*

- Captures ITG and resistive drift and ballooning modes
- Includes neoclassical ion physics effects
- Allows for efficient cross-separatrix simulations

# Discretization: locally field-aligned multiblock approach

To exploit strong anisotropy of microturbulence

- Toroidal direction is divided into block (wedges)
- Control cells are field-aligned (F-A) within each block



## EDGE (COGENT)

$(\psi, \theta)$  - fine  $\perp$  coordinates

$\phi$  - coarse  $\parallel$  coordinate

Efficient for X-point modeling

## CORE (GYRO, BOUT)

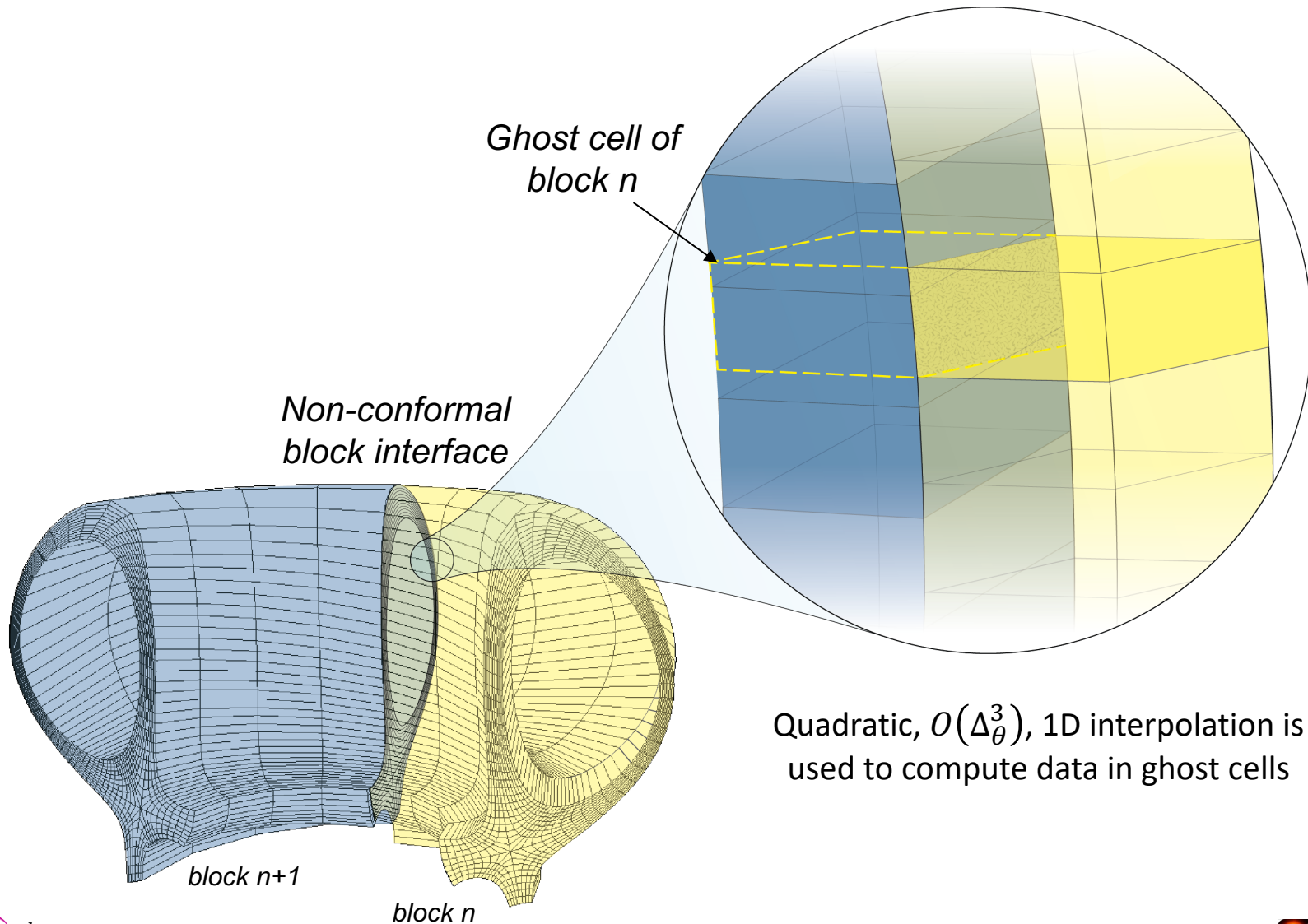
$(\psi, \phi)$  - fine  $\perp$  coordinates

$\theta$  - coarse  $\parallel$  coordinate

Efficient for high-n wedge modeling

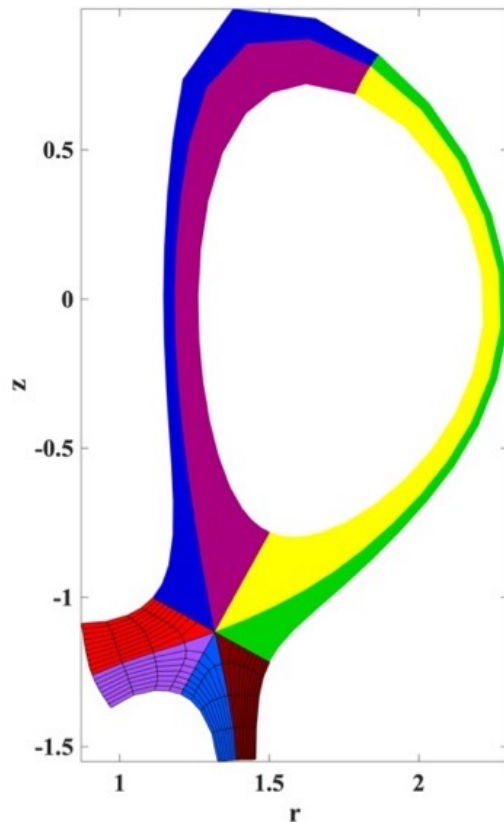
The approach is conceptually similar to the FCI approach\*, but maintains flux surfaces (presently, including the X-point region)

# Interpolation is employed at a block interface

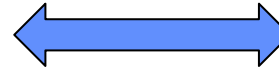


# X-point geometry is handled by using poloidal sub-blocks

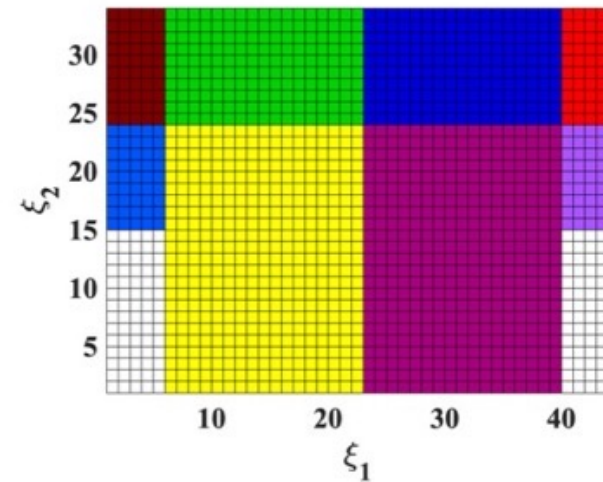
Physical domain (DIII-D)



Mapping



Computational  
multiblock domain



**Problem:** X point  $\rightarrow$  singular topology

**COGENT approach:** use multiblock grid technology

*Strong anisotropy of plasma transport motivates the use of flux-aligned grids*

# 5D full-F simulations of plasma transport in a SN geometry

Vorticity model  $\sigma_{\parallel} \leftrightarrow V_{Te}/qR_0v_e \sim 0.6$

Ion-ion collisions  $\nu_{ii} \sim 0.01 V_{Ti}/qR_0$

IC: Local Maxwellian,  $T_0 = 4$  keV

Boundary conditions ( $\Phi$ ):

- Self-consistent BC @ core boundary
- Zero-Dirichlet @ all other boundaries

Boundary conditions (f):

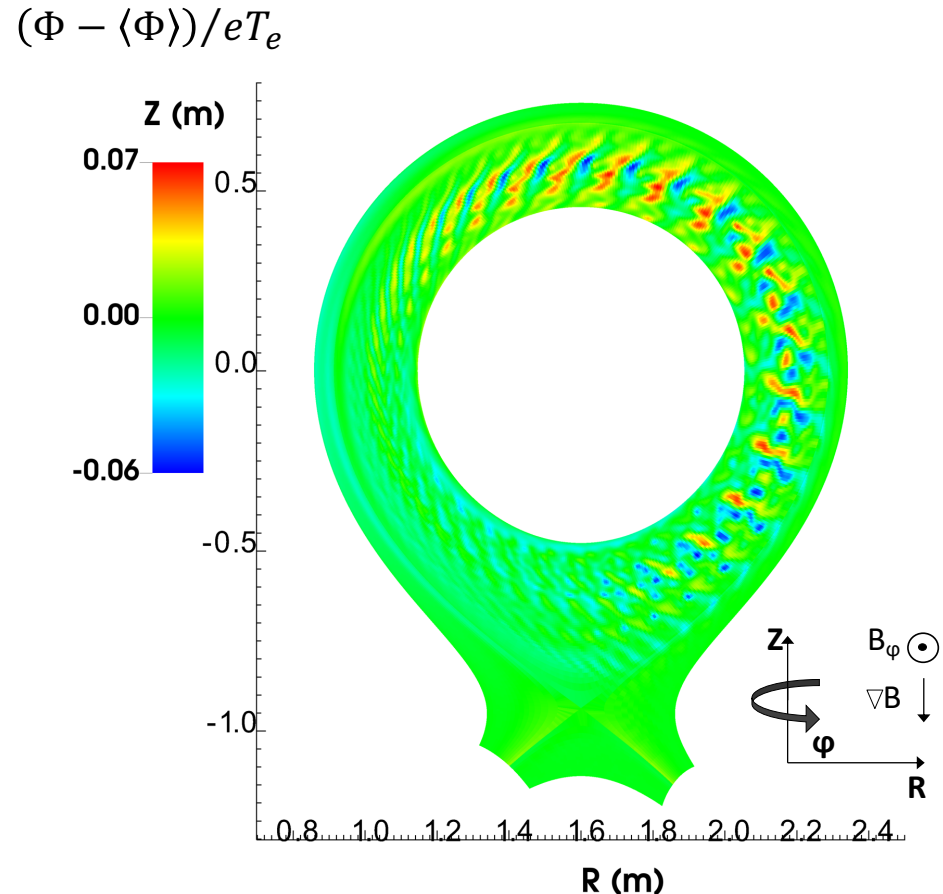
- Thermal Maxwellian baths  
(consistent with initial conditions)

Resolution  $(N_r, N_{\phi}, N_{\theta}, N_{v_{\parallel}}, N_{\mu})$   
(76, 4, 576, 32, 24)

Time step  $dt = 0.016 R_0/V_{Ti}$

Performance 1 step  $\leftrightarrow$  6s  
Cori 1408 cores

Field-aligned multiblock version

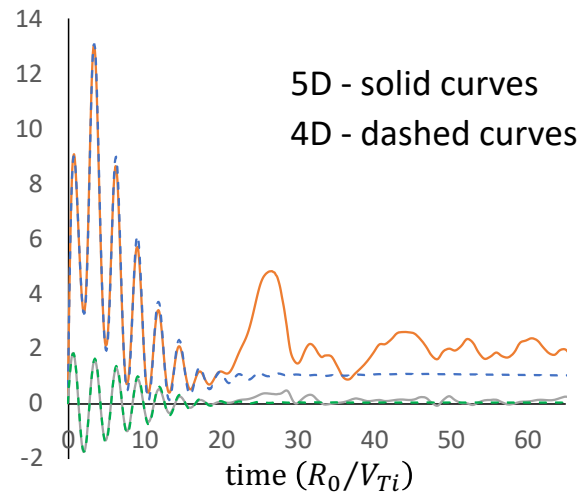


Model geometry

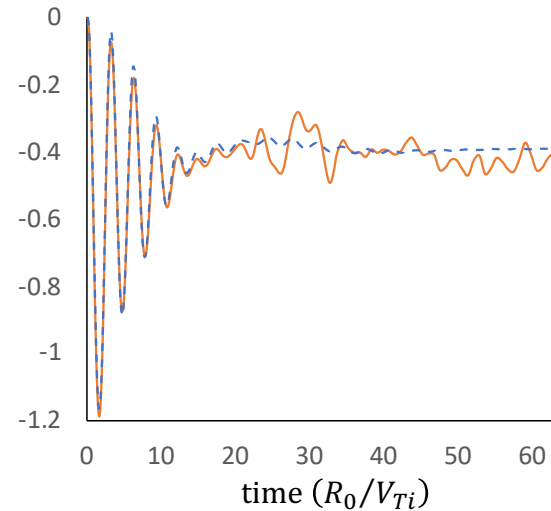
$$R_0 = 1.6 \text{ m}, q \sim 2.5, RB_{\phi} = 3.5 \text{ T} \cdot \text{m}, \Delta\phi_{\text{wedge}} = 2\pi/8$$

# 4D axisymmetric simulations can be used to provide insights into neoclassical transport and initial relaxation

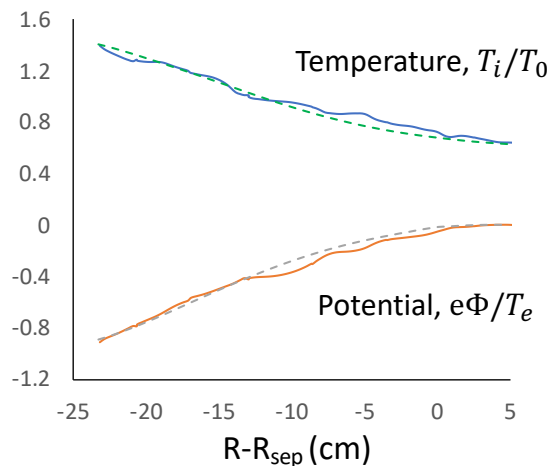
Transport power and particle flow



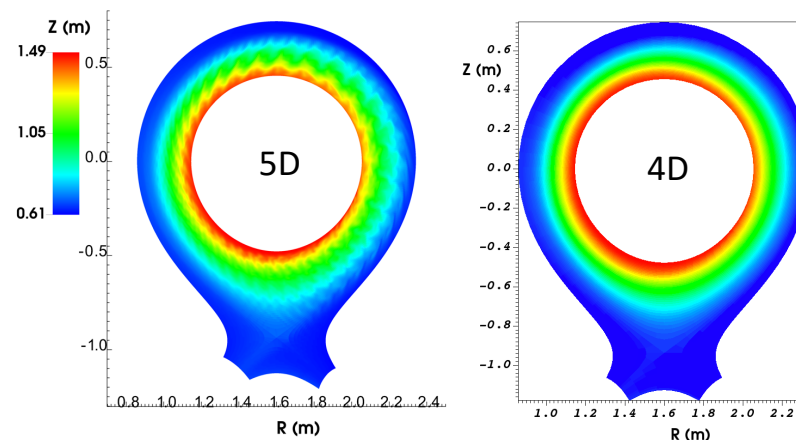
Electrostatic Potential



Outer midplane lineouts @  $59.7 R_0/V_{Ti}$



Temperature profiles @  $59.7 R_0/V_{Ti}$

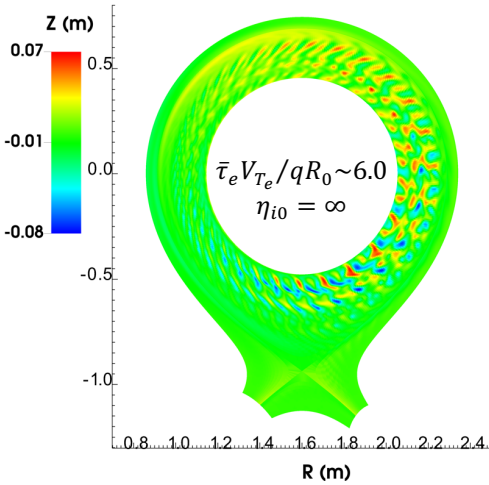


Poloidal background variations due to FOW effects

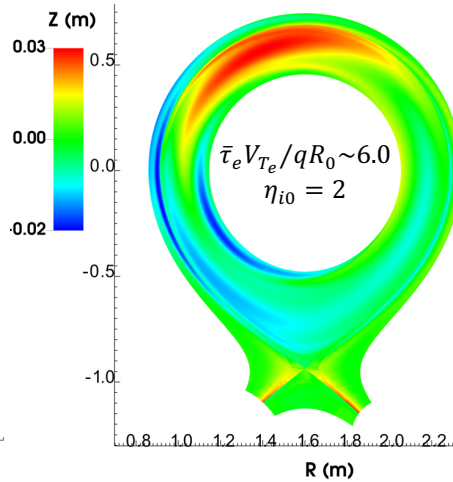


# The role of X-point geometry can be explored by comparing with the counterpart toroidal annulus simulations

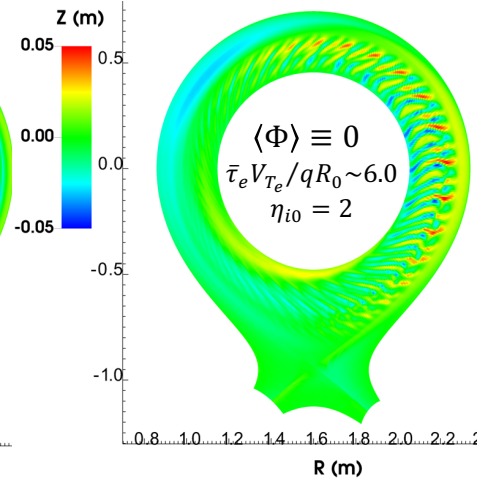
Increase conductivity to suppress resistive effects (ITG dominant case)



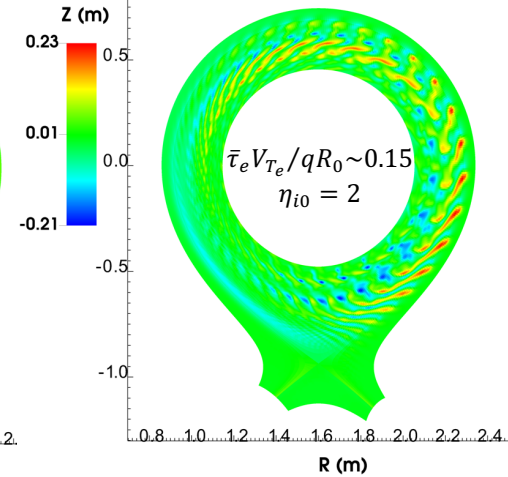
Increase density gradient to observe ITG stabilization (“H-mode-like” behavior)



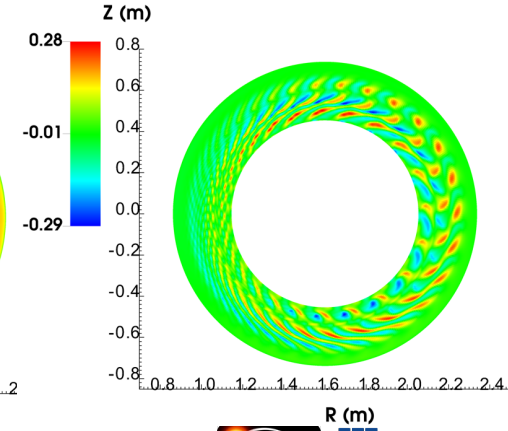
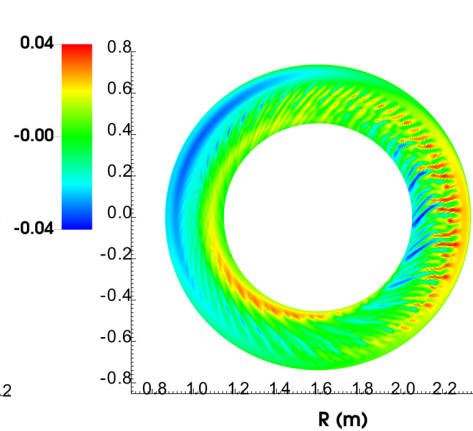
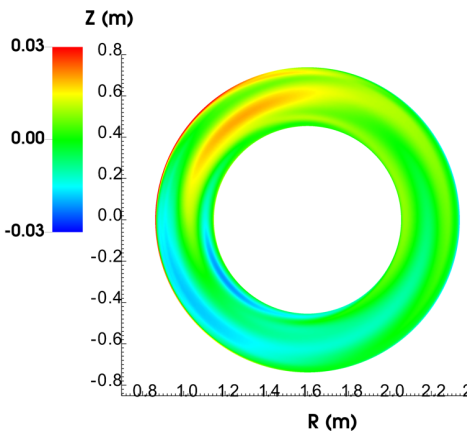
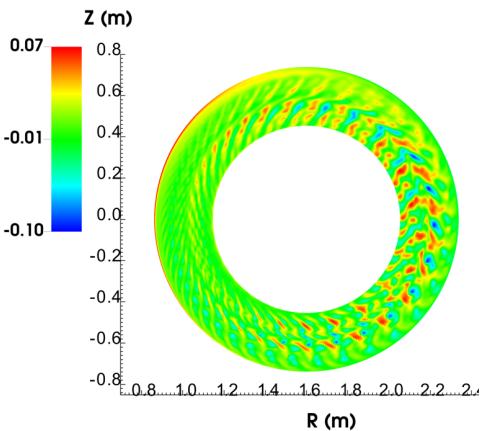
Suppress self-consistent  $\langle \Phi \rangle$  to observe ITG reemergence → demonstrates the role of  $E_r$



Decrease conductivity to observe resistive drift and ballooning modes



$(\Phi - \langle \Phi \rangle) / e T_e$





# Increased Er-well and pedestal build-up is consistent with turbulence suppression

## Plasma parameters

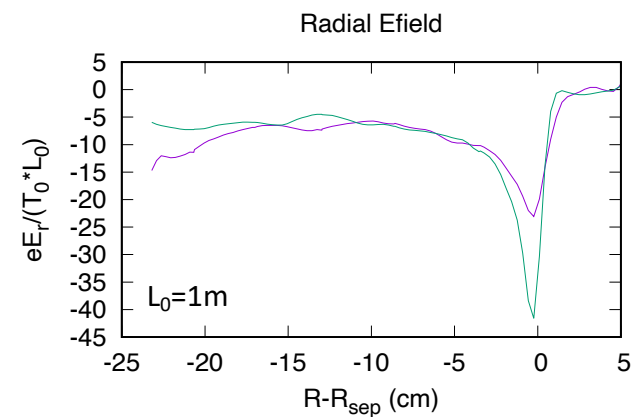
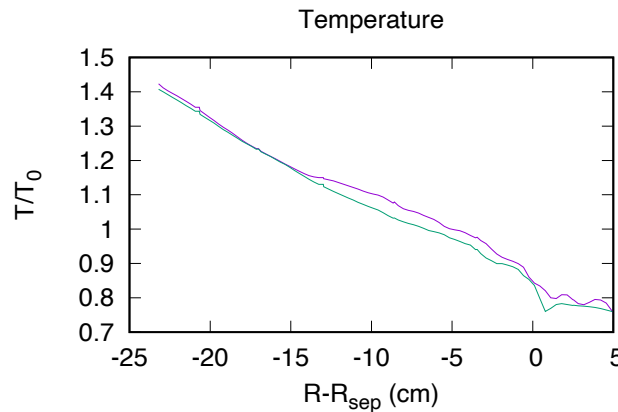
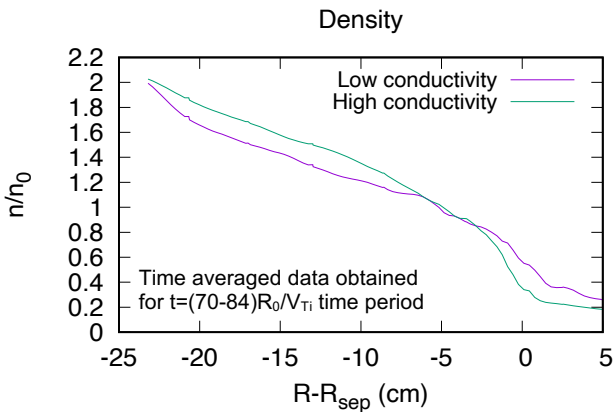
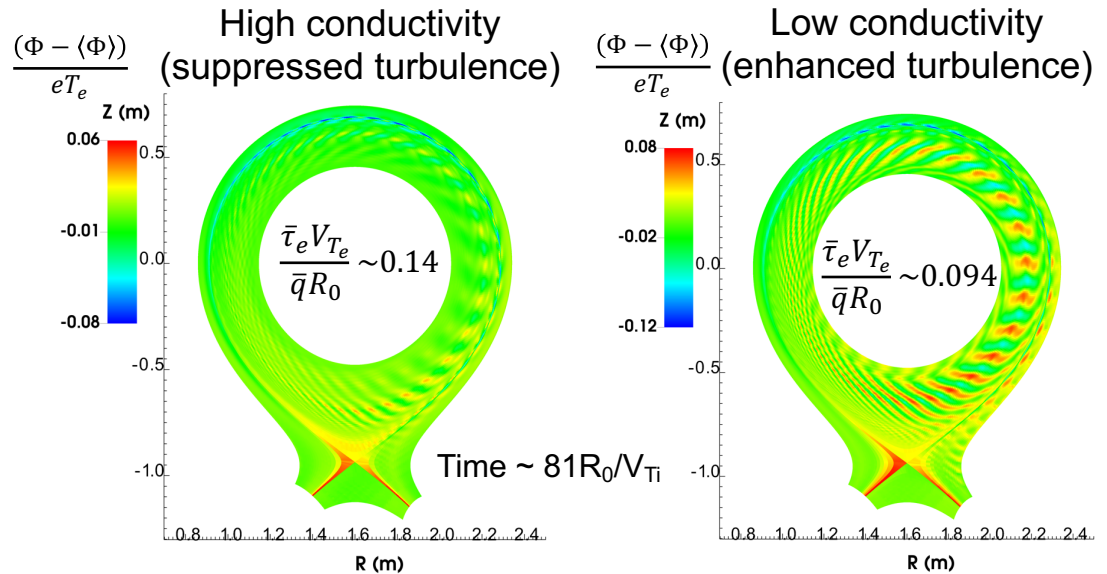
$$T_0 = T_{i0} = 4 \text{ keV}, T_e = 400 \text{ eV}$$

$$\text{Ion-ion collisions } \nu_{ii} \sim 0.01 V_{Ti} / q R_0$$

## Model geometry parameters

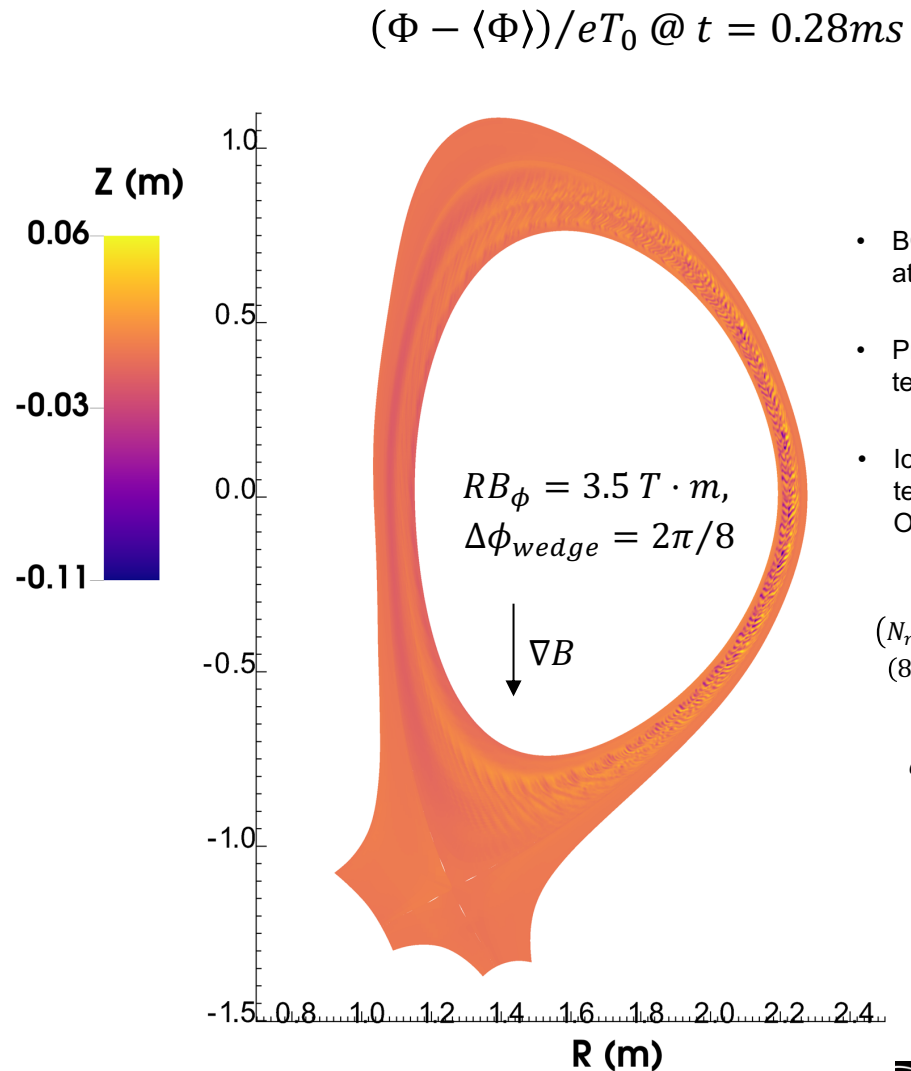
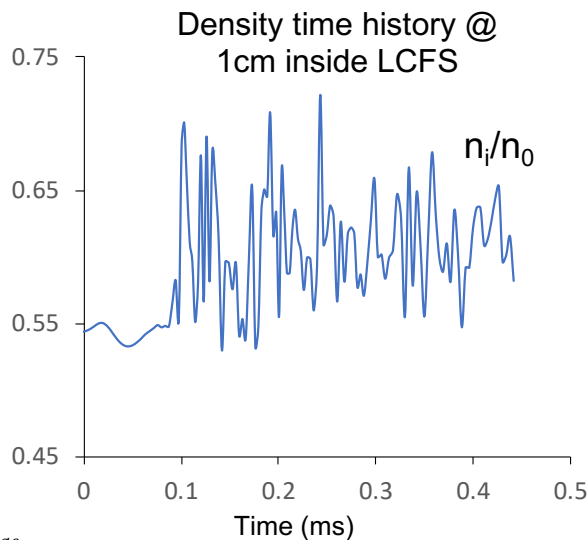
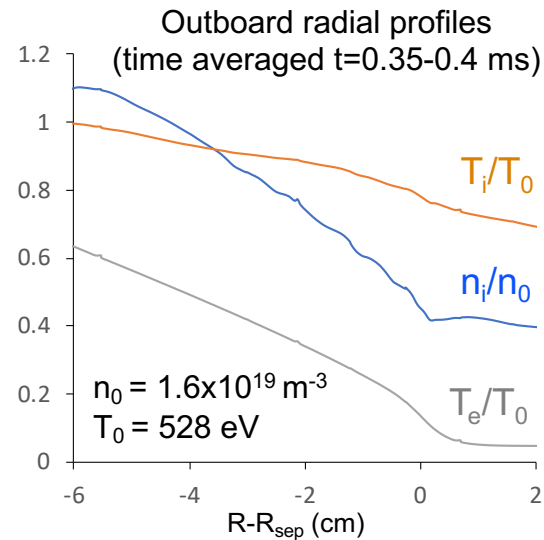
$$R_0 = 1.6 \text{ m}, q \sim 2.5,$$

$$R B_\phi = 3.5 \text{ T} \cdot \text{m}, \Delta\phi_{\text{wedge}} = 2\pi/8$$



**COGENT hybrid (reduced) model includes ion-scale resistive and ITG turbulence, background Er, NC and ion-orbit loss effects and can be used to study L-H transition and other edge-relevant phenomena while providing substantial speed-up over fully kinetic models**

# COGENT hybrid model has been used to simulate edge turbulence for realistic DIII-D discharge parameters\*



- BC: fixed  $n_i$  and  $T_i$  at radial boundaries
- Prescribed electron temperature
- Ion collisions and RS terms are turned OFF for simplicity

Resolution  
( $N_r, N_\phi, N_\theta, N_{v_\parallel}, N_\mu$ )  
(80,4,2144,32,12)

Time step  
 $dt = 0.14 \mu\text{s}$

Performance  
1 step  $\leftrightarrow$  9s  
Cori 1728 cores

# Hybrid GK ions – fluid electron model is extended to include electromagnetic (EM) effects

Simulation model [presently does not include peeling-drive ( $\delta B$ ) terms]

$$\left\{ \begin{array}{l} \frac{\partial}{\partial t} \varpi + \nabla_{\perp} \left( c \frac{-\nabla_{\perp} \phi \times \mathbf{B}}{B^2} \varpi \right) = \nabla_{\perp} \cdot \left( e \int \frac{2\pi}{m_i} B_{\parallel}^* f_{i,gc} \mathbf{v}_{mag} dv_{\parallel} d\mu - c \frac{\nabla_{\perp} p_e \times \mathbf{b}}{B} \right) + \nabla \cdot (\mathbf{b} j_{\parallel}) \\ \left[ 1 - \frac{c^2}{\omega_{pe}^2} \Delta_{\perp} \right] \frac{1}{c} \frac{\partial A_{\parallel}}{\partial t} = -\nabla_{\parallel} \Phi + \frac{\nabla_{\parallel} p_e}{en_e} + 0.51 \frac{v_e}{\omega_{pe}^2} c \Delta_{\perp} A_{\parallel} + \frac{0.71}{e} \nabla_{\parallel} T_e \\ -\Delta_{\perp} A_{\parallel} = \frac{4\pi}{c} j_{\parallel} \end{array} \right. \quad \begin{array}{l} \text{Quasi-neutrality} \\ \text{Electron parallel force balance} \\ \text{Ampere's law} \end{array}$$

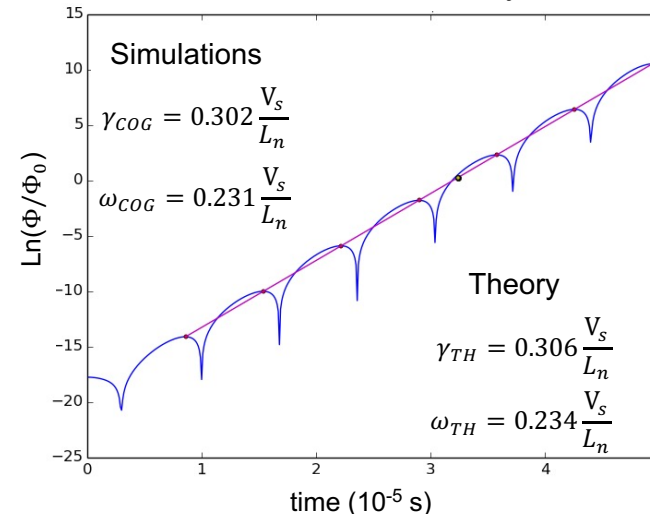
## Simplified slab case verification

Adopting  $\frac{\partial n_i}{\partial t} = c \nabla \Phi \times \frac{\mathbf{b}}{B} \cdot \nabla n_0$  we obtain

Dispersion for resistive drift instability with EM effects

$$\underbrace{\omega + \frac{c^2 k_{\perp}^2}{\omega_{pe}^2}}_{\text{Electromagnetic induction}} \underbrace{(\omega - 0.51 i v_e)}_{\text{Electron inertia}} = \underbrace{\frac{k_{\parallel}^2 V_A^2}{\omega^2}}_{\text{Electron friction}} \underbrace{\left( \omega - \frac{V_s}{|L_n|} k_{\perp} \rho_s \right)}_{\text{Drift effects}}$$

Potential time history



**Simulation parameters:**

$T_e = T_i = 100 \text{ eV}$   
 $B = 2 \text{ T}$   
 $n_0 = 10^{13} \text{ cm}^{-3}$   
 $m_i = m_p$   
 $L_n = 5 \text{ cm}$   
 $k_{\perp} \rho_s = 2$   
 $2\pi/k_{\parallel} = 30 \text{ m}$   
 $V_{Te} k_{\parallel} / v_e = 1$

# ImEx framework with physics-based preconditioner is used to handle fast Alfven-wave time scale

Physics-based preconditioner\* (PC) includes Alfven-wave, electron inertia and resistive terms

$$\frac{\partial}{\partial t} \nabla_{\perp} \left( \frac{c^2 m_i n}{B^2} \nabla_{\perp} \Phi \right) = -\frac{c}{4\pi} \nabla \cdot (\mathbf{b} \Delta_{\perp} A_{\parallel})$$

$$\left[ 1 - \frac{c^2}{\omega_{pe}^2} \Delta_{\perp} \right] \frac{1}{c} \frac{\partial A_{\parallel}}{\partial t} = -\nabla_{\parallel} \Phi + 0.51 \frac{v_e}{\omega_{pe}^2} c \Delta_{\perp} A_{\parallel}$$



When included into the ImEx Newton-Krylov framework, the PC system to be solved is

$$\alpha \nabla_{\perp} \left( \frac{c^2 m_i n}{B^2} \nabla_{\perp} \Phi \right) + \frac{c}{4\pi} \nabla \cdot (\mathbf{b} \Delta_{\perp} A_{\parallel}) = r_{\phi} \quad (1)$$

$$\frac{1}{c} \left[ \alpha - (\alpha + 0.51 v_e) \frac{c^2}{\omega_{pe}^2} \Delta_{\perp} \right] A_{\parallel} + \nabla_{\parallel} \Phi = r_A \quad (2)$$

$\alpha \propto \Delta t^{-1}$  is a constant coefficient



To further simplify adopt the following ad-hoc approximations

$$\nabla_{\perp} \left( \frac{c^2 m_i n}{B^2} \nabla_{\perp} \Phi \right) \rightarrow \Delta_{\perp} \frac{c^2 m_i n}{B^2} \Phi \quad \text{Valid for slow variations of background profiles}$$

$$\nabla \cdot (\mathbf{b} \Delta_{\perp} A_{\parallel}) \rightarrow \Delta_{\perp} \nabla \cdot (\mathbf{b} A_{\parallel}) \quad \text{Not valid in toroidal geometry, working on improvements}$$

- Approximate solution of Eq. (1) as

$$\Phi = \frac{B^2}{\alpha c^2 m_i n} \left( -\frac{c}{4\pi} \nabla \cdot (\mathbf{b} A_{\parallel}) + \Delta_{\perp}^{-1} r_{\phi} \right) \quad (3)$$

- Substitute (3) into (2) and solve the second-order elliptic equation for  $A_{\parallel}$
- Elliptic equations are efficiently solved by AMG methods (from Hypre)

# Efficiency of the physics-based PC is successfully demonstrated for the RBI mode

## RBI 3field simulation model [omits $\delta B$ and drift terms]

$$\frac{\partial n}{\partial t} = \nabla \cdot \left( c \nabla \Phi \times \frac{\mathbf{b}}{B} n \right)$$

$$\frac{\partial}{\partial t} \nabla_{\perp} \left( \frac{c^2 m_i n}{B^2} \nabla_{\perp} \Phi \right) = \frac{2cT_e}{B} \mathbf{b} \times \boldsymbol{\kappa} \cdot \nabla (n - n_0) - \frac{c}{4\pi} \nabla \cdot (\mathbf{b} \Delta_{\perp} A_{\parallel})$$

$$\left[ 1 - \frac{c^2}{\omega_{pe}^2} \Delta_{\perp} \right] \frac{1}{c} \frac{\partial A_{\parallel}}{\partial t} = -\nabla_{\parallel} \Phi + 0.51 \frac{v_e}{\omega_{pe}^2} c \Delta_{\perp} A_{\parallel}$$

## Simulation parameters

$$N_0 = 10^{20} \text{ m}^{-3}, T_e = 400 \text{ eV}, m_i = m_p$$

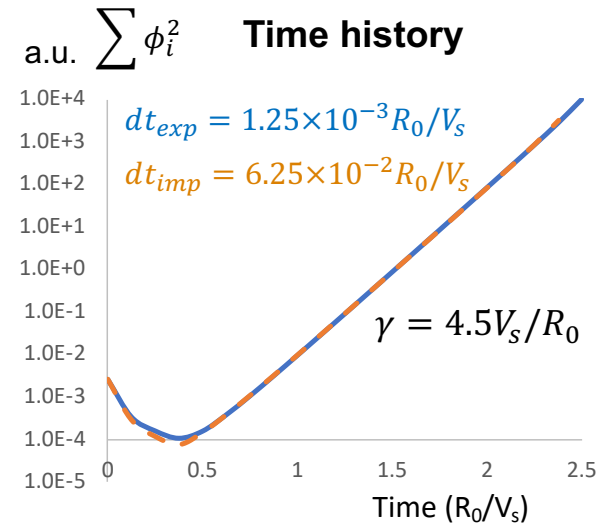
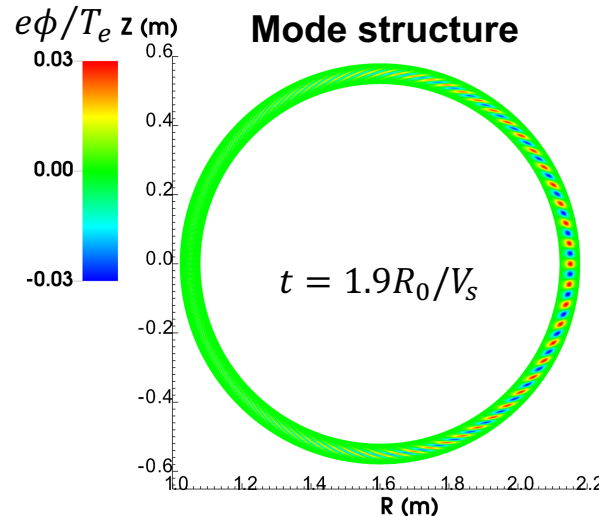
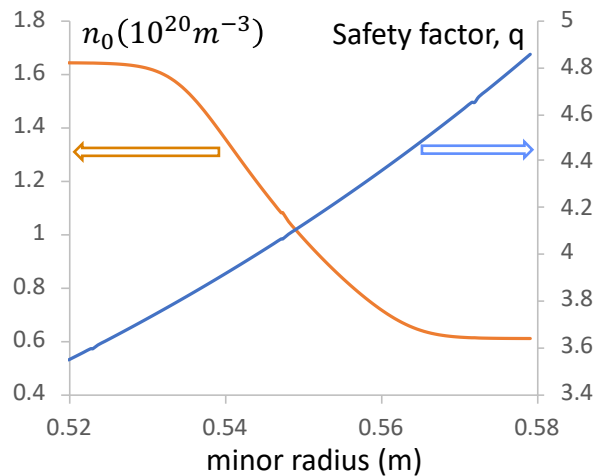
$$R_0 = 1.6 \text{ m}, RB_{\phi} = 3.5 \text{ Tm}, \text{wedge} = \pi/10$$

$$\text{Increased resistivity } \sigma_{\parallel} \leftrightarrow v_e^{-1} V_{Te} / q R_0 \sim 0.15$$

$$\text{Taking } q \sim 4, L_n \sim 3 \text{ cm}, k_{\parallel} \sim 1/q R_0$$

$$\omega_A = V_A k_{\parallel} = 5.6 \frac{V_s}{R_0}, \quad \gamma_b = \frac{\sqrt{2} V_s}{\sqrt{R_0 L_n}} = 10.3 \frac{V_s}{R_0}$$

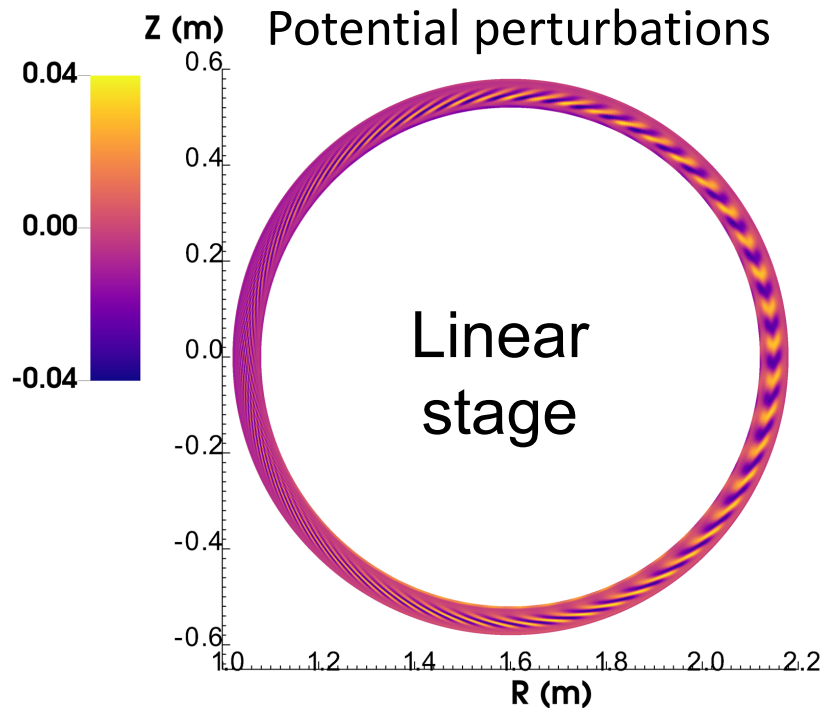
## Background profiles



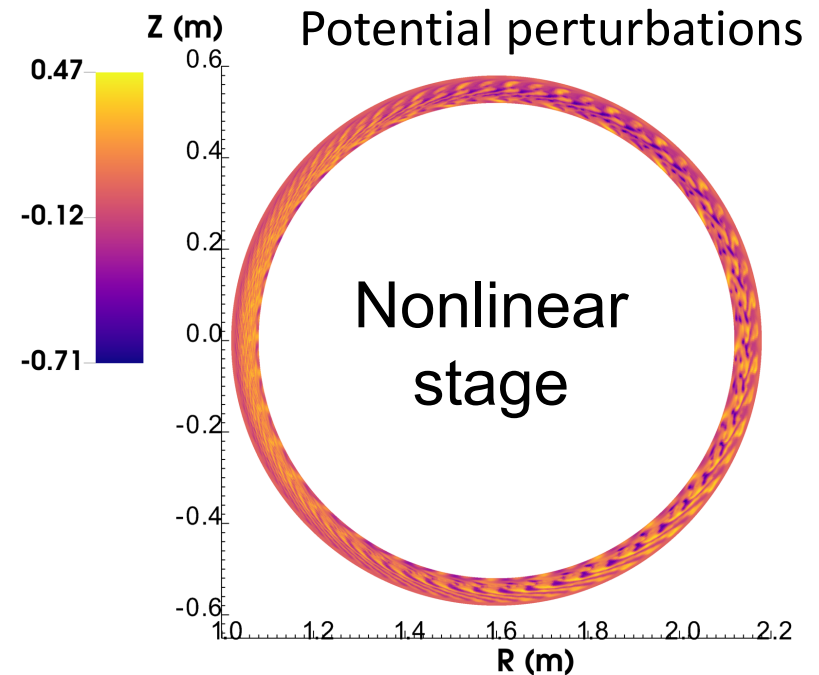
# Preliminary results from the implicit hybrid GK-ions -- fluid electrons EM model (work in progress)

- DIII-D edge parameters,  $N_0=2 \times 10^{19} \text{ m}^{-3}$ ,  $T_i=T_e=100 \text{ eV}$ ,  $m_i=2m_p$ ,  $\sigma_{\parallel} \leftrightarrow \nu_e^{-1} V_{Te}/qR_0 \sim 0.75$
- Include drift terms (DRBI mode is captured), and background  $E_r$ , ion-ion collisions -- OFF
- Profiles shape  $[n_0(\psi), q(\psi)]$  same as for the 3-field fluid RBI test

$$(\Phi - \langle \Phi \rangle)/eT_e$$



$$(\Phi - \langle \Phi \rangle)/eT_e$$

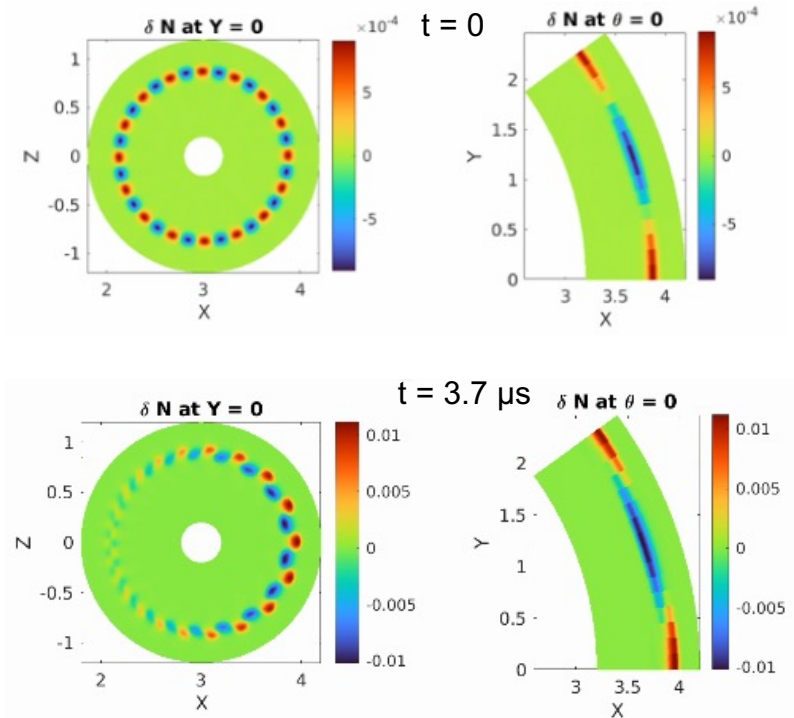


# MHD fluid module is added to COGENT framework\*

## Simulation model

- Ideal MHD equations with viscosity
- Finite volume scheme for conservative fluid variables implemented for general non-orthogonal coordinates.
- Constrained transport method for  $\mathbf{B}$  ( $\text{div}\mathbf{B}=0$  to machine precision)
- ImEx time integration with option to treat stiff viscosity term in equation of motion implicitly
- Multiple flux computing methods
  - Characteristic-based upwinding (TVD,QUICK,WENO5) via Lax Flux splitting – diffusive, good for  $\beta\sim 1$  systems like Z-pinchs where shocks are typical
  - ZIP upwinding – nondiffusive, stable to linear red-black modes and nonlinear antidiffusion modes. Good for long timescale tokamak simulations

## 3D simulation of peeling-ballooning mode in a toroidal annular geometry



- 3D toroidal wedge geometry
- ZIP upwinding for fluxes
- Linearized  $\mathbf{J} \times \mathbf{B}$  force & isothermal model
- Equilibrium parameters:  $R B_T = 6 \text{ T-m}$ ,  $q=1.6$
- initial Perturbation scales with  $\cos(10\phi - 16\theta)$

# Conclusions

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- **5D continuum** full-F gyrokinetic **cross-separatrix** simulations of edge plasma transport are being extended to include **EM effects**
- COGENT discretization is distinguished by
  - High-order finite-volume discretization
  - Mapped multiblock grid technology and locally field-aligned grids
- Present capabilities include
  - Gyrokinetic Poisson and vorticity model (extended to include EM effects)
  - Various collision models (including nonlinear Fokker-Planck)
  - Implicit-Explicit (ImEx) time integration capabilities
  - Fluid models for electron and neutral species
- In progress/future work:
  - Applications: L-mode turbulence, L-H transition, divertor heat-flux width
  - Capabilities: electromagnetics, kinetic electrons, FLR effects