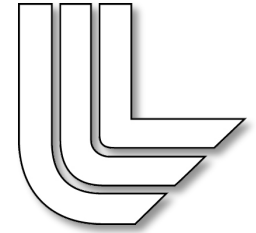


Continuum kinetic simulations of tokamak edge plasmas with COGENT



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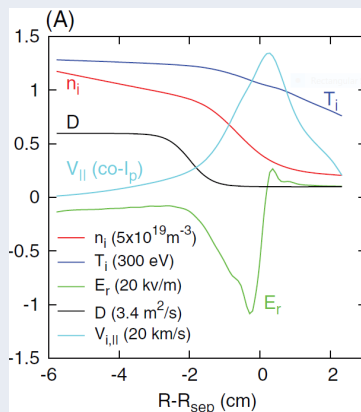


P. Snyder
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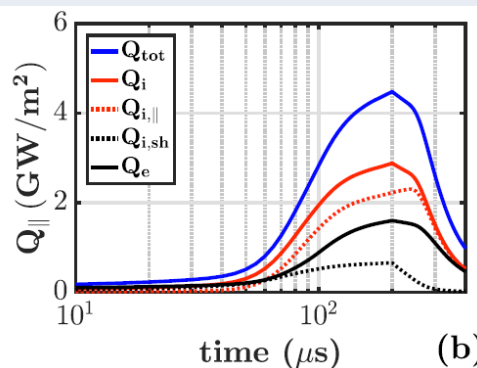


S. Krashenninnikov

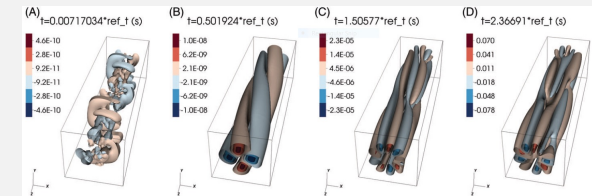
Cross separatrix transport (Dorf et al., Contrib. Plasma Phys., 58, 434-444 (2018))



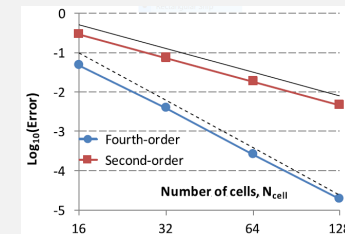
ELM heat pulse (Joseph et al., Nucl. Mater. Energy, 19, 330-334 (2019))



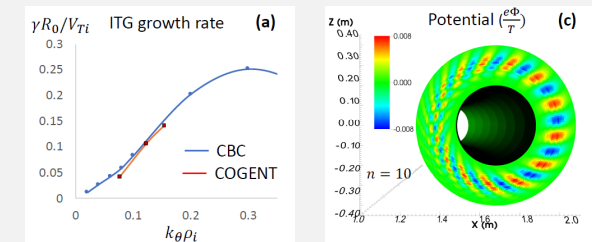
Kinetic drift-wave instability (Lee et al., Contrib. Plasma Phys., 58, 445-450 (2018))



High-order drift wave modeling (Dorf et al., J. Comput. Phys., 373, 446-455 (2018))



5-D full-f gyrokinetic code COGENT (Dorf et al., Contrib. Plasma Phys. (2020))



Tokamak edge plasma is challenging to model numerically

■ Kinetic effects are essential

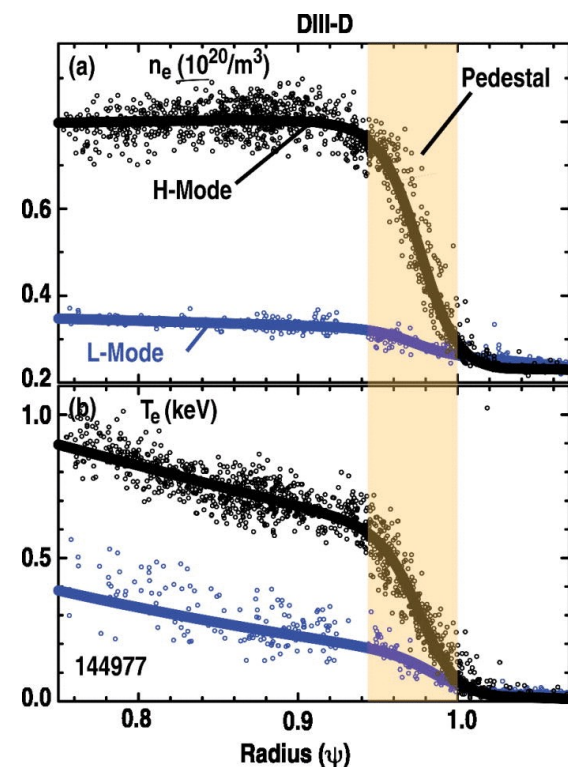
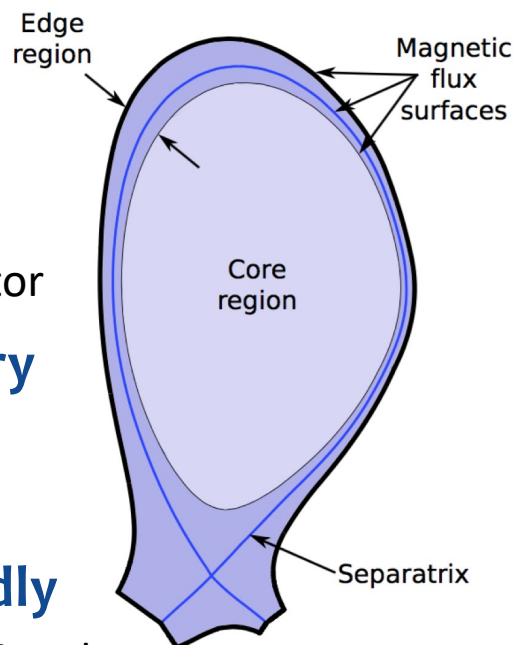
- Strong deviations from Maxwellian distribution
- Large poloidal variations in electrostatic potential
- Fully nonlinear collision operator

■ More complicated geometry

- Magnetic separatrix
- Boundaries

■ Collision regimes vary rapidly

- Hot core region – weakly collisional
- Cold outer region – strongly collisional



[A. W. Leonard, Phys. Plasmas 21, 090501 (2014)]

Dynamics in the edge region are characterized by a large range of scales

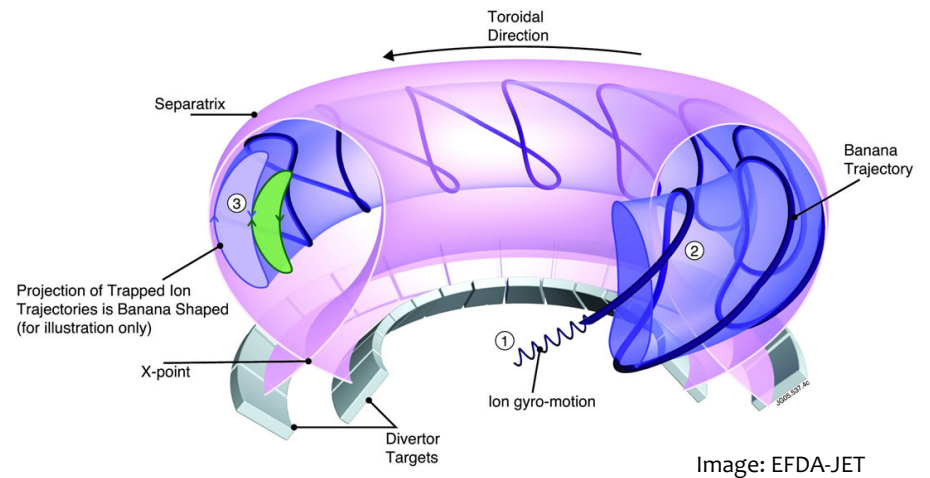
Tokamaks and Gyrokinetics

- Tokamak = toroidal magnetic confinement fusion device
- Strong non-equilibrium behavior requires kinetic modeling

$$\frac{\partial(B_{\parallel}^* f)}{\partial t} + \nabla_{\mathbf{R}} \cdot (\dot{\mathbf{R}}[f]f) + \frac{\partial}{\partial v_{\parallel}} (\dot{v}_{\parallel}[f]f) = \mathcal{C}[f]$$

Unknown: $f(\mathbf{R}, v_{\parallel}, \mu)$, $\mathbf{R} \in \mathbb{R}^3$

- Strong magnetic field \rightarrow
 - Asymptotic limit... 6D to 5D
 - Anisotropy
- Numerical challenges include:
 - Complex geometry
 - High dimensionality
 - Conservation
 - Positivity
 - Multiple space and **time scales**



$$\begin{aligned} \dot{\mathbf{R}} &\equiv v_{\parallel} \mathbf{B}^* + \frac{\rho_L}{Z} \mathbf{b} \times \mathbf{G} & \mathbf{G} &\equiv Z \nabla_{\mathbf{R}} \Phi + \frac{\mu}{2} \nabla_{\mathbf{R}} B \\ \dot{v}_{\parallel} &\equiv -\frac{1}{m} \mathbf{B}^* \cdot \mathbf{G} & \mathbf{B}^* &\equiv \mathbf{B} + \rho_L \frac{m v_{\parallel}}{Z} \nabla_{\mathbf{R}} \times \mathbf{b} \end{aligned}$$

- Advection couples to self-consistent field
- Parallel advection \gg perpendicular drifts
 - Leads to strong anisotropy

Design decisions motivated by numerical challenges

- High Dimensionality
- Conservation
- Anisotropy
- Complex Geometry
- Multiple Scales

motivates

High-order
Later, w/ sparse grids

motivates

Finite Volume

motivates

Mapped, field-aligned grids

motivates

Multiblock meshing

motivates

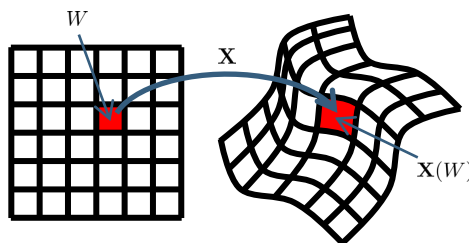
IMEX time integration

High-order, mapped finite volume discretization

Computational coordinates:

Spatial domain discretized by rectangular control volumes

$$V_i = \prod_{d=1}^D \left[i_d - \frac{h}{2}, i_d + \frac{h}{2} \right]$$



$$\mathbf{X} \equiv \mathbf{X}(\xi), \quad \mathbf{X} : [0, 1]^D \rightarrow \Omega \subset \mathbb{R}^D$$

Mapped coordinates:

Mapping from abstract Cartesian coordinates into physical space

$$\mathbf{X} = \mathbf{X}(\xi), \quad \mathbf{X} : [0, 1]^D \rightarrow \mathbb{R}^D$$

Fourth-order flux divergence average from fourth-order cell face averages

$$\int_{\mathbf{X}(V_i)} \nabla_{\mathbf{X}} \cdot \mathbf{F} d\mathbf{X} = \sum_{\pm=+,-} \sum_{d=1}^D \pm \int_{A_d^{\pm}} (\mathbf{N}^T \mathbf{F})_d d\mathbf{A}_{\xi} = h^{D-1} \sum_{\pm=+,-} \sum_{d=1}^D \pm F_{i \pm \frac{1}{2} \mathbf{e}^d}^d + O(h^4)$$

where

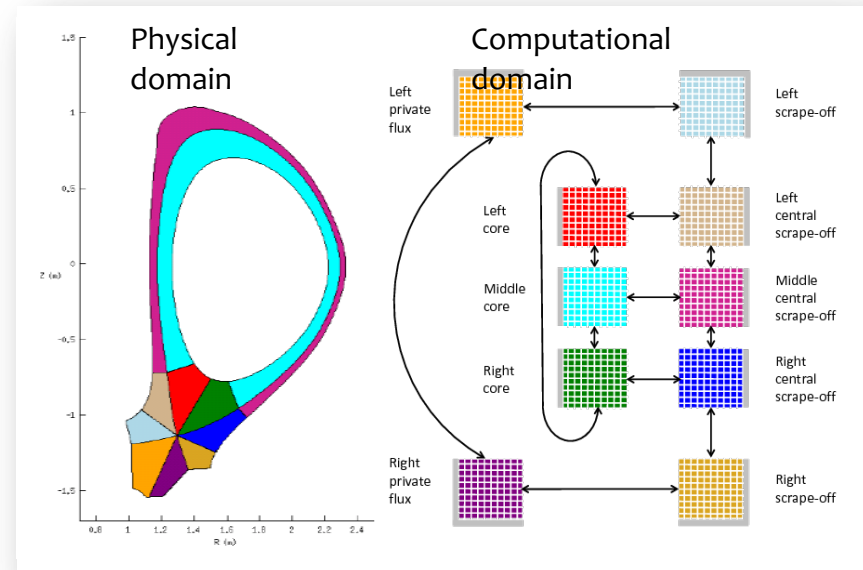
$$(\mathbf{N}^T)_{p,q} = \det \left(\mathbf{R}_p \left(\frac{\partial \mathbf{X}}{\partial \xi}, \mathbf{e}^q \right) \right) \quad \mathbf{R}_p(\mathbf{A}, \mathbf{v}) : \text{replace } p\text{-th row of } \mathbf{A} \text{ with } \mathbf{v}$$

$$F_{i \pm \frac{1}{2} \mathbf{e}^d}^d = \sum_{s=1}^D \langle N_d^s \rangle_{i \pm \frac{1}{2} \mathbf{e}^d} \langle F^s \rangle_{i \pm \frac{1}{2} \mathbf{e}^d} + \frac{h^2}{12} \sum_{s=1}^D \left(\mathbf{G}_0^{\perp,d} \left(\langle N_d^s \rangle_{i \pm \frac{1}{2} \mathbf{e}^d} \right) \right) \cdot \left(\mathbf{G}_0^{\perp,d} \left(\langle F^s \rangle_{i \pm \frac{1}{2} \mathbf{e}^d} \right) \right)$$

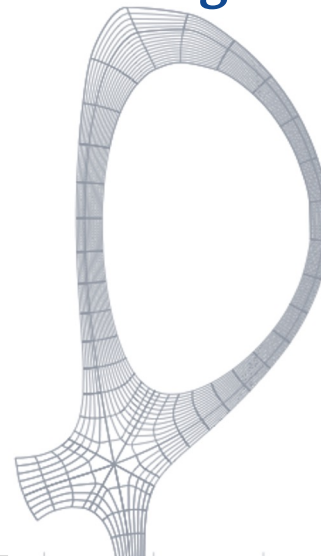
$$\mathbf{G}_0^{\perp,d} = \text{second-order accurate centered difference of } \nabla_{\xi} - \mathbf{e}^d \frac{\partial}{\partial \xi_d} \quad \langle q \rangle_{i \pm \frac{1}{2} \mathbf{e}^d} \equiv \frac{1}{h^{D-1}} \int_{A_d} q(\xi) d\mathbf{A}_{\xi} + O(h^4)$$

We use multiblock grid technology to discretize the edge domain

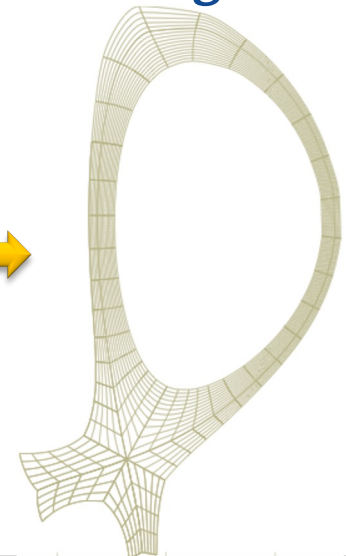
- Separatrix partitions edge into three regions:
 - Core, Private flux, Scrape-off
- Regions mapped to disjoint union of uniformly-gridded rectangular blocks
 - Each block decomposed into boxes
- High-order MMB finite-volume formalism requires extended smooth block mappings*
- One coordinate of mapping parameterizes flux surfaces
- Flux-surface alignment abandoned near the X-point to avoid singular metrics**



Flux-aligned



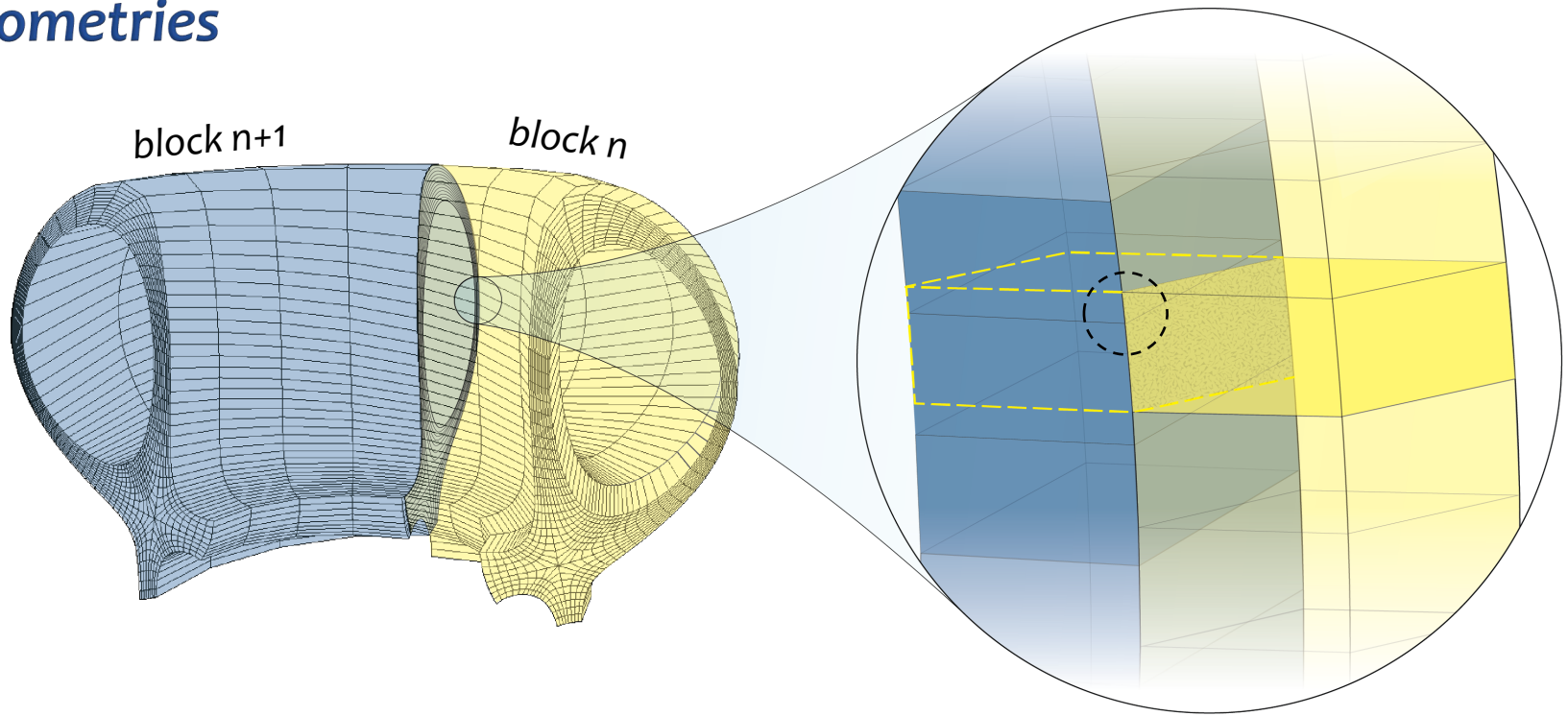
De-aligned



*[McCorquodale. et al. (2015) *J. Comput. Phys.* **288** 181-195]

[Dorr. Et al. (2018) *J. Comput. Phys.* **373 605-630]

Extended COGENT to 5-D, field aligned coordinates in realistic geometries



- Existing technology assumed conformality of mesh faces at block boundaries
- Radially varying shears make this conformality impossible
- Approach has been extended to allow non-conformality in *one dimension*
- Current implementation is 2nd-order, non-conservative
- High-order, conservative formulation in progress

Multiple time-scales arising from multiple physical phenomena

$$\frac{\partial f_i}{\partial t} + \nabla_{\parallel} \cdot (\dot{\mathbf{R}} f_i) + \nabla_{\perp} \cdot (\dot{\mathbf{R}} f_i) + \partial_{v_{\parallel}} (v_{\parallel} f_i) = C_i(f_i) + \nabla_{\perp} \cdot (D_{AN} \nabla_{\perp} f_i)$$

$$\frac{\partial f_e}{\partial t} + \nabla_{\parallel} \cdot (\dot{\mathbf{R}} f_e) + \nabla_{\perp} \cdot (\dot{\mathbf{R}} f_e) + \partial_{v_{\parallel}} (v_{\parallel} f_e) = C_e(f_e) + \nabla_{\perp} \cdot (D_{AN} \nabla_{\perp} f_e)$$

	Time scale	ω
Faster	Electrostatic Alfvén waves	$(V_{Te}/qR) (L/\rho_s)$
	Electron parallel streaming & collisions	$V_{Te}/qR, \nu_e$
	Ion parallel streaming & collisions	$V_{Ti}/qR, \nu_i$
	Drift ion-scale turbulence	V_s/L
Slower	Transport (profile evolution)	$D_{AN}/L^2, \nu_i \rho_i^2/L^2$

General Preconditioning Strategies:

- Exploit physics-based closure models
- Lower-order discretizations

IMEX methods to address multiple scales

Spatial discretization yields semi-discrete ODE in time

$$\frac{d\tilde{f}}{dt} = \mathcal{R}(\tilde{f}) \equiv \underbrace{\mathcal{V}(\tilde{f})}_{\text{Spatially-discretized Vlasov}} + \underbrace{\mathcal{C}(\tilde{f})}_{\text{collisions terms}}$$


Spatially-discretized **Vlasov** and **collisions** terms

Explicit time integration:
Runge-Kutta methods

$$\Delta t \left(\lambda \left[\frac{d\mathcal{R}(\tilde{f})}{d\tilde{f}} \right] \right) \in \{z : |R(z)| \leq 1\}$$

Time step constrained by eigenvalues
(time scales) of *entire RHS*

Implicit-Explicit (IMEX) time integration:
Additive Runge-Kutta (ARK) methods

$$\mathcal{R}(\tilde{f}) = \underbrace{\mathcal{R}_{\text{stiff}}(\tilde{f})}_{\text{Implicit}} + \underbrace{\mathcal{R}_{\text{nonstiff}}(\tilde{f})}_{\text{Explicit}}$$

$$\Delta t \left(\lambda \left[\frac{d\mathcal{R}_{\text{nonstiff}}(\tilde{f})}{d\tilde{f}} \right] \right) \in \{z : |R(z)| \leq 1\}$$

Specifically: Additive Runge Kutta (ARK) Methods

Diagonal implicitness

Stage Solves:
$$\tilde{f}^{(i)} = \tilde{f}_n + \Delta t \left\{ \sum_{j=1}^{i-1} a_{ij} \mathcal{R}_{\text{nonstiff}} \left(\tilde{f}^{(j)} \right) + \sum_{j=1}^i \tilde{a}_{ij} \mathcal{R}_{\text{stiff}} \left(\tilde{f}^{(j)} \right) \right\}$$

Step Completion:
$$\tilde{f}_{n+1} = \tilde{f}_n + \Delta t \sum_{i=1}^s b_i \left\{ \mathcal{R}_{\text{nonstiff}} \left(\tilde{f}^{(i)} \right) + \mathcal{R}_{\text{stiff}} \left(\tilde{f}^{(i)} \right) \right\}$$

Stage solves done with Jacobian-Free Newton Krylov

Matrix to be inverted at each
Newton iteration of the form

$$\left(\mathcal{I} - \Delta t \frac{d\mathcal{R}_{\text{stiff}}}{d\tilde{f}} \right)$$

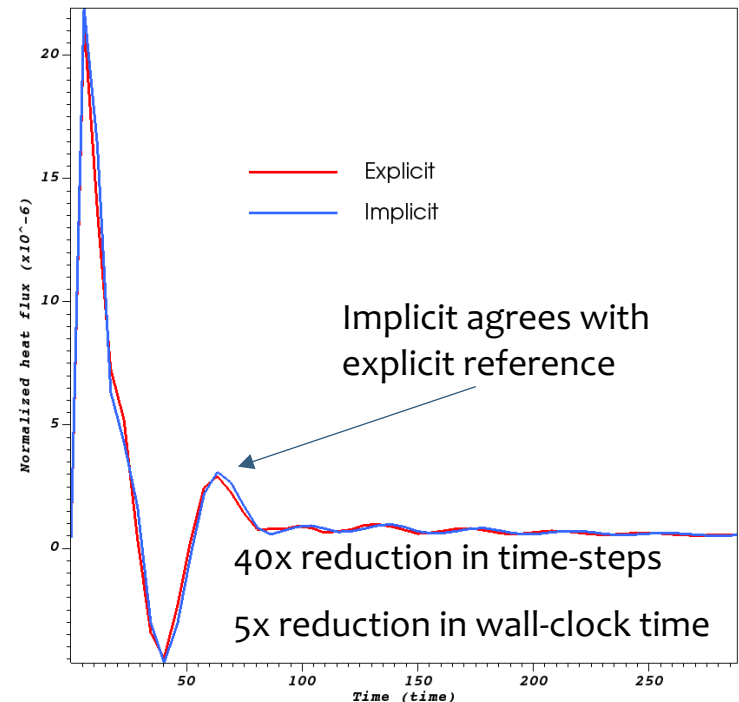
Flexible preconditioning framework

Expectation: Different physics problems feature different terms requiring implicitness

Needed: Flexible handling of arbitrary # of implicit terms

Developed: Multiphysics operator-split preconditioning framework

$$I + \Delta t \sum_k A_k \approx \prod_k (I + \Delta t A_k)$$



Independent preconditioning allows physics-based approaches for individual terms:

Allows better efficiency than a monolithic strategy ever could, but **still need to design individual preconditioners**

Case Study: Electron Vorticity Model

- Electron dynamics typically faster than ions by factor of $\sqrt{m_i/m_e} \approx 60$ which motivates
 - Implicit treatment of electrons, and/or...
 - Reduced models of electron behavior
- Usual adiabatic approximation no good for edge physics, so made our own reduced model for electrostatic potential:

$$\frac{\partial \omega}{\partial t} + \nabla \cdot (\mathbf{V}[f]\omega) - \nabla_{\parallel} \left[\frac{\sigma}{e} \left(\frac{1}{n_i} \nabla_{\parallel} (n_e T_e) - e \nabla_{\parallel} \phi \right) \right] = g[f] \quad \sigma \gg 1$$

$$\omega = -M\phi$$

2nd order elliptic operator

$$n_e = n_i + e^{-1}\omega$$

Small (but physically important) polarization correction... makes system 4th order

Without Polarization Correction

- “Freeze” coefficients at previous Newton iterate to make preconditioning system linear
- Stage preconditioning solve becomes

$$(\alpha M - \Delta t \nabla_{\parallel} \sigma \nabla_{\parallel}) \phi = r$$

- Highly anisotropic 2nd order elliptic operator
- Solve with *hypr* AMG solver
 - Well-suited to automatically dealing with anisotropy

Physical Importance of Polarization Correction

- In simplified problem, linear growth rate maximized when

$$\frac{k_{\parallel}^2}{k_{\perp}^3} \frac{\omega_{ci} \omega_{ce}^2}{0.51 \nu_e} \frac{L_n}{V_{Te}^2} (1 + k_{\perp}^2 \rho_s^2) \approx 1$$

Due to polarization
correction

Without correction, unstable modes may have arbitrarily short wavelength



Grid-scale instabilities in code

With Polarization Correction

- Preconditioner stage system becomes

$$\left[\alpha M - \Delta t \left(\nabla_{\parallel} \sigma \nabla_{\parallel} + \underbrace{\nabla_{\parallel} \frac{\sigma}{en_i} \nabla_{\parallel} T_e M}_{\text{4th-order piece}} \right) \right] \phi = r$$

- Want to avoid direct implementation of 4th order term b/c 4th order stencils across block boundaries introduce high complexity in multiblock geometry

- Instead, introduce auxiliary variable:

$$\begin{pmatrix} \alpha M - \Delta t \nabla_{\parallel} \sigma \nabla_{\parallel} & \nabla_{\parallel} \frac{\sigma}{en_i} \nabla_{\parallel} T_e \\ M & I \end{pmatrix} \begin{pmatrix} \phi \\ \omega_{aux} \end{pmatrix} = \begin{pmatrix} r \\ 0 \end{pmatrix}$$

- Schur Complement

$$I - M (\alpha M - \Delta t \nabla_{\parallel} \sigma \nabla_{\parallel})^{-1} \nabla_{\parallel} \frac{\sigma}{en_i} \nabla_{\parallel} T_e$$

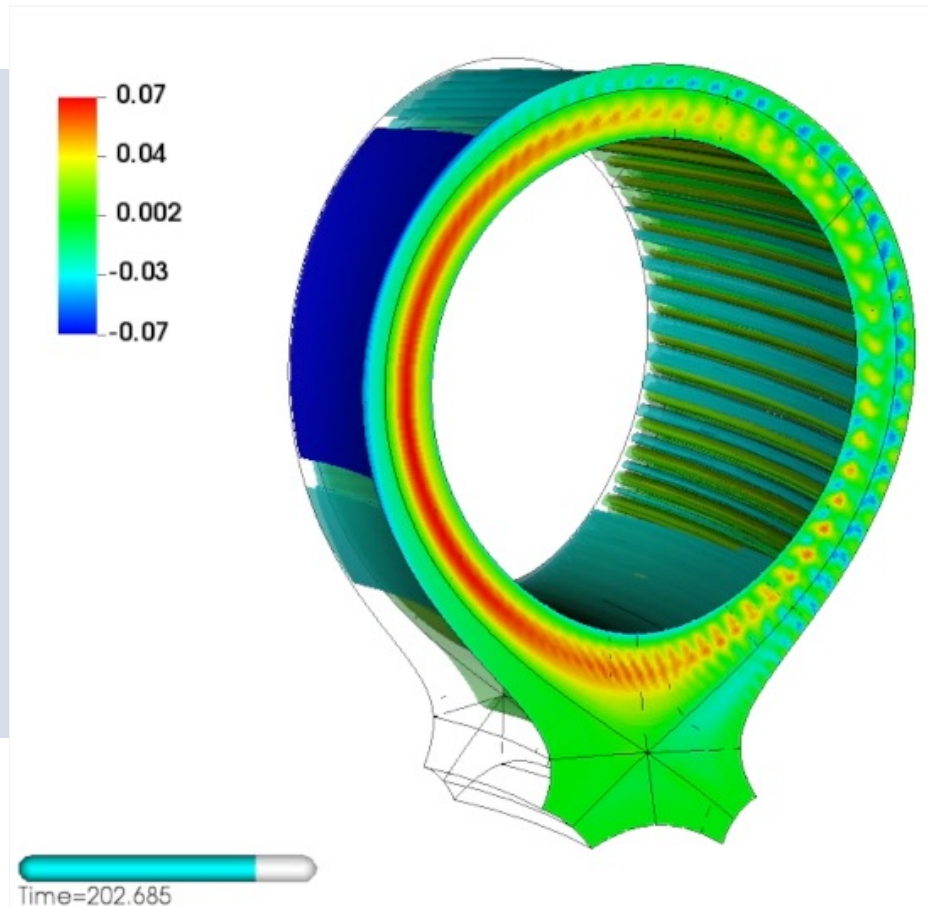
is well-conditioned and already have solver for part we need to invert

- Solved with *hypr* MGR

Enabling novel physics results

- Combination of many technologies enables first-of-kind physics studies
- First continuum, cross-separatrix simulation of ion-scale tokamak turbulence

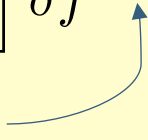
Many physics effects still to be added... opportunities for optimization



Advection

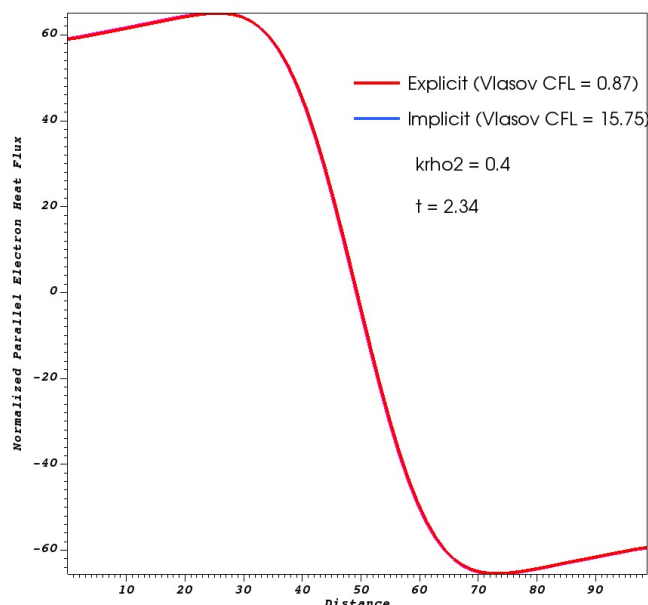
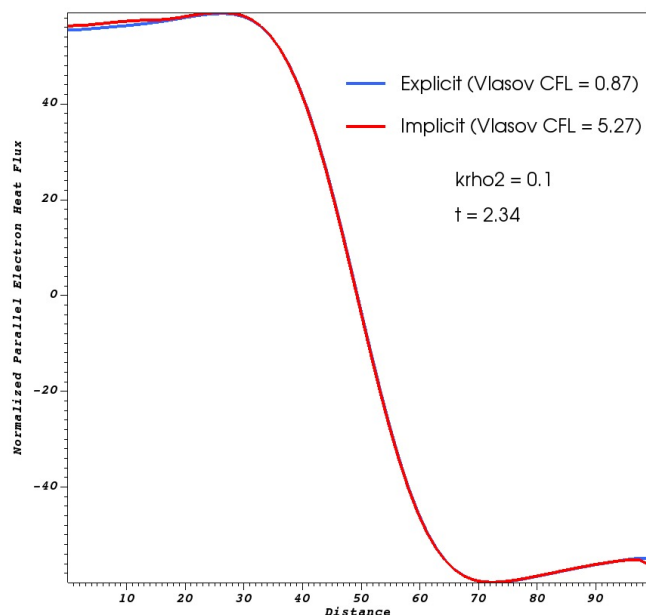
- Another approach to dealing with electron time-scales:
 - Step over their fast advection by treating their kinetic equation implicitly
 - CFL restrictions of fine mesh make implicit advection even more attractive
- Current solver/preconditioning approach: “Freeze” nonlinearity in advection coefficients

$$\left[\alpha I + \Delta t \left(\nabla_x \cdot \dot{\mathbf{R}} [f^n] + \nabla_v \cdot \dot{v}_{\parallel} [f^n] \right) \right] \delta f^{n+1} = r$$

Time-step 

Implicit electron advection in ELM studies

- Edge-localized modes (ELMs) are a disruptive instability that can damage wall materials if uncontrolled
- Requires kinetic electrons – vorticity model insufficient – to capture
- Implicit electron treatment enables time-step closer to ion time-scales
- No artificial modification of electron mass
- Still limited by electrostatic Alfvén wave frequency....



Work performed as part
of the DOE FES/ASCR
Plasma Surface
Interactions 2 SciDAC
project
[https://collab.cels.anl.gov/
display/PSIscidac2](https://collab.cels.anl.gov/display/PSIscidac2)

Open Problem – Electrostatic Alfvén Wave (ESAW)

- Coupling to electric field results in wave with frequency

$$\omega = \omega_{ci} \sqrt{\frac{m_i}{m_e} \frac{k_{\parallel}}{k_{\perp}}}$$

Fast cyclotron frequency

- Very fast but physically unimportant
- Stepping over requires implicit treatment of parallel fields
- So, need Jacobian of $\dot{v}_{\parallel}[f] \propto \nabla_{\parallel}\phi$ but

$$M\phi = \int f dv_{\parallel} d\mu \implies \text{“semi-dense” Jacobian}$$

- Idea: Use fluid model to approximate Jacobian... work in progress

We began exploring the utility of sparse grids in COGENT

For a p^{th} -order discretization on a mesh with cell size h in d dimensions

$$\begin{array}{ccc} \kappa \propto h^{-d} & \epsilon \propto h^p & \\ \text{Complexity} & \text{Error} & \end{array} \Rightarrow \begin{array}{c} \kappa \propto \epsilon^{-d/p} \\ \text{Efficiency} \end{array}$$

Combination technique: Assume an error relation of the form

$$f - \tilde{f} = C_1(h_x)h_x^p + C_2(h_y)h_y^p + C_3(h_x, h_y)h_x^p h_y^p$$

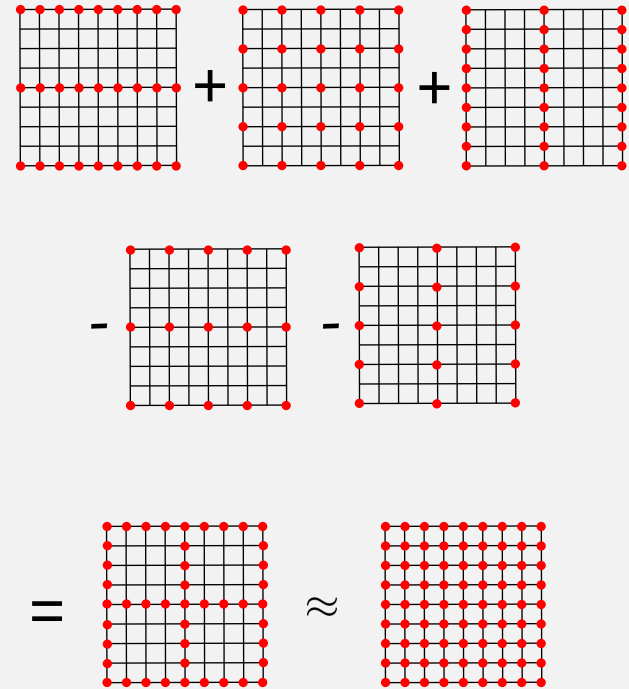
with C_i bounded. Letting

$$f_n = \sum_{i+j=n+1} f_{i,j} - \sum_{i+j=n} f_{i,j}$$

then

$$\kappa \propto h^{-1} |\log(h)|^{d-1} \quad \epsilon \propto h^p |\log(h)|^{d-1}$$

$$\Rightarrow \kappa \propto \epsilon^{-1/p} |\log(\epsilon)|^{(d-1)(1+1/p)}$$



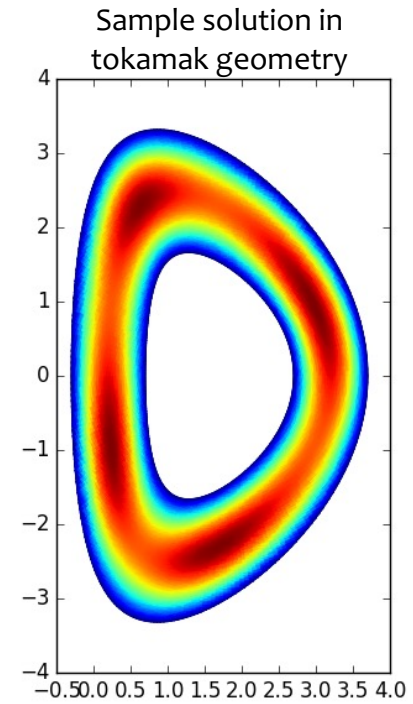
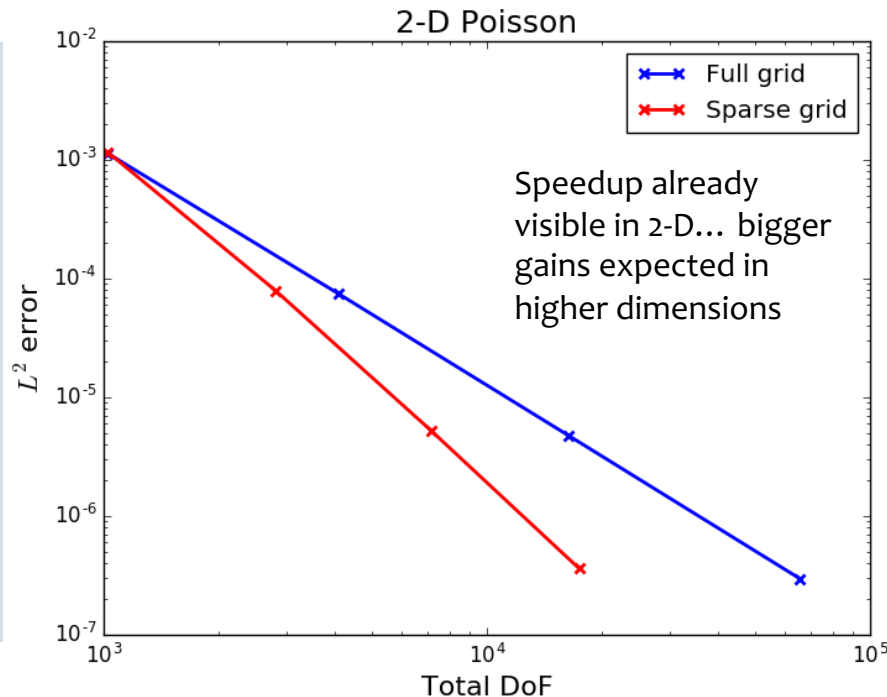
Sparse grid methods have been investigated for high-dimensional kinetic simulations

L. F. Ricketson and A. J. Cerfon, Plasma Phys. Contr. F. 59, 2 (2016)

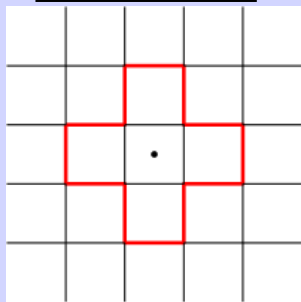
W. Guo and Y. Cheng, SISC (2016)... and others

We developed a new sparse-grid, high-order finite volume method

- Standard stencils for high-order FV schemes are incompatible with sparse grids
- Developed new stencils that satisfy the constraints on error expansion
- Implementation in COGENT ongoing

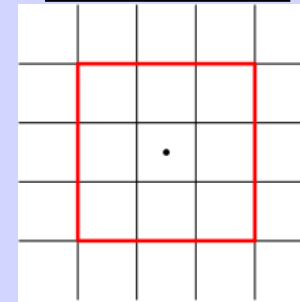


Old Stencil



$$\varepsilon = C_1 h_x^4 + C_2 h_y^4 + C_3 h_x^2 h_y^2$$

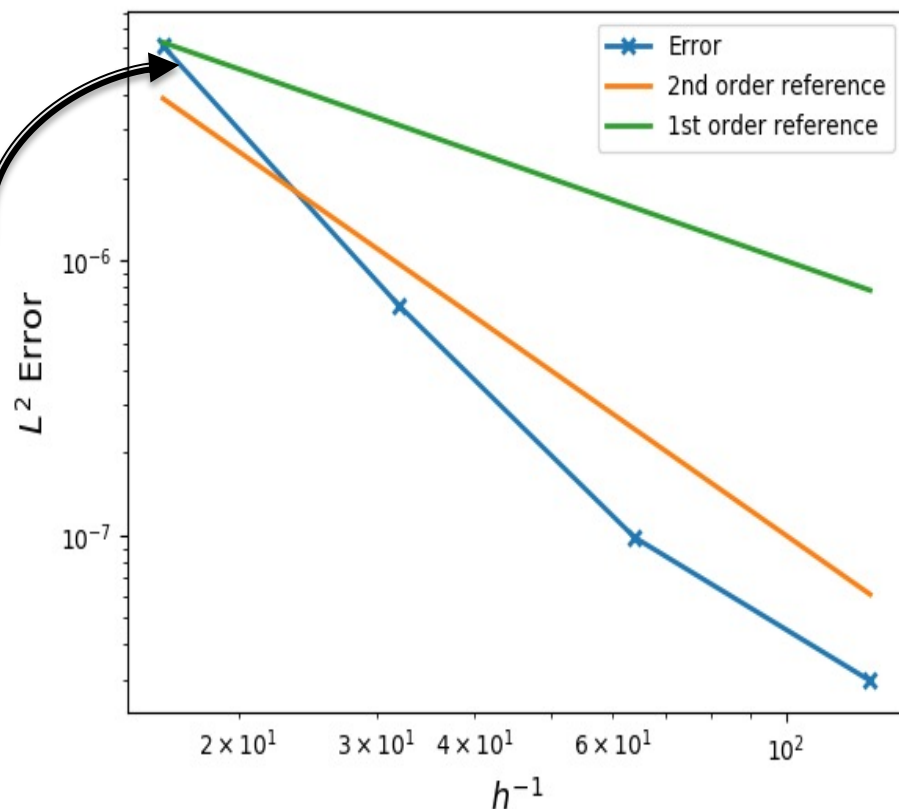
New Stencil



$$\varepsilon = C_1 h_x^4 + C_2 h_y^4 + C_3 h_x^4 h_y^4$$

Sparse Grid implementation in COGENT

- Framework for combining solutions on multiple grids implemented
- Tested on simple problems with "old" stencils
 - Linear advection
 - Drift wave instability
- Confirm 2nd order convergence
- Implementation of new stencils for 4th order convergence in progress, but buggy...



Conclusions

- COGENT = High-order mapped multiblock code for tokamak edge with flexible IMEX time integration framework
- Multiple stiff physical scales provide unique preconditioning challenges... need tailored approaches for each
- Work ongoing, but
 - Block-preconditioning of 4th-order vorticity model shows promising results
 - Implicit advection already aiding physics studies, with additional ESAW challenges to be tackled



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